

Guide for Completing the Survey

Please read the “Important Points to Note” below before completing the Survey. The completed Survey Form should be submitted to the Survey of Pure Holding Companies office by July 15, 2014.

Important Points to Note

1. This Survey is a survey targeting pure holding companies (i.e. companies whose main business activity is controlling the activities of other companies in which it holds shares).
2. Except where the instructions indicate that data should be given on a Group basis (i.e. on a consolidated basis), the information given when completing this Survey should be for the unconsolidated pure holding company only.
3. When entering numerical data in the Survey Form, please use Arabic numerals (1, 2, 3, etc.). To indicate minus amounts of less than zero, please use the Δ symbol.
4. Please enter “0” in fields for which the corresponding amount or quantity is zero.
5. When entering monetary amounts, amounts of less than 1 million yen should be rounded to the nearest million yen, following the “round 4 down, round 5 up” rule. If, after rounding in this way, the resulting number is less than 1 million yen, you should enter a zero (“0”) for that number. Please note that capital size and investment size should be rounded down to the nearest million yen (rather than using the “round 4 down, round 5 up” rule).
6. The ratio of foreign capital (see section 1-(3) Capital Amount or Investment Amount) should be rounded to two decimal places using the “round 4 down, round 5 up” rule.
7. The terms “more than,” “less than,” “no less than” and “no more than” should be used as follows:
 In the phrase “more than 50% but less than 100%,” the “more than 50%” does not include 50%, and the “less than 100%” does not include 100%.
 In the phrase “no less than 20% but no more than 50%,” the “no less than 20%” includes 20%, and the “no more than 50%” includes 50%.
8. The details entered in the Survey Form should be for the (unconsolidated) pure holding company’s Fiscal 2013 settlement term. If this presents difficulties, the data for the settlement term nearest in time to Fiscal 2013 may be given.
 - (1) Companies whose settlement term ends on or before the Survey date (March 31) should enter data for the current fiscal year; companies whose settlement terms ends after the Survey date should enter data for the previous fiscal year.
 - (2) Companies that use a six-month settlement term should add the data for the current term to the previous term to create an annual total.
 - (3) Companies that experience difficulty in giving an annual total because they are transitioning to a different settlement term may enter data according to the new settlement term, but should add a note in the “Remarks” field specifying the dates (year, month and day) on which the settlement term begins and ends.
9. The industry classification number for subsidiaries and affiliates should be filled out according to the industry classification table given on p. 17 of this Guide.
10. If, when filling out the details required by the Survey Form, you are unable to give an exact figure or exact amount, an estimate may be given instead. In such cases, a note should be added in the “Remarks” field indicating that the figure in question is an estimate.
11. Only one copy of the Survey Form will be sent out to each respondent. As the personnel implementing the Survey may need to check the details entered in the Survey Form at a later date, when you have finished filling out the Survey Form please be sure to make a copy of it so that you have the details at hand when needed. (If you do need a copy of your completed Survey Form, please contact the Survey office, the contact details for which are given below; a copy of the form will be sent to you)

12. Inquiries relating to the Survey:

Please contact the Survey of Pure Holding Companies office. (You will be asked to give the reference number shown on the bottom left hand corner of p. 1 of the Survey Form)

When submitting the completed Survey Form, please use the return envelope enclosed with the Survey Form. If you wish to submit the completed Survey Form by e-mail, please contact the Survey of Pure Holding Companies office first.

METI – Survey of Pure Holding Companies office: TEL: 0120-572-239 (toll-free number)

(The office is open 09:00 – 18:00, Monday – Friday; closed on Saturdays, Sundays and public holidays)

1. Profile of Pure Holding Company (Unconsolidated)

(2) Address of pure holding company head office (location where actual head office functions are performed)

If the actual location is different from the registered location, please give the actual location.

Please give the company's full name and full address.

(3) Capital or investment size of pure holding company (as of Survey date)

Amounts of less than one million yen should be rounded down to the nearest million yen.

1. Profile of pure holding company (unconsolidated)

(1) Pure holding company name			Phone no. (Main line)
(2) Address of pure holding company head office (location where actual head office functions are performed)	〒		
(3) Capital or investment size of pure holding company (as of March 31, 2013)	0101	1 million 10 million 100 million 1 billion 10 billion 100 billion 1 trillion	Enter the ratio of foreign capital to the pure holding company's overall capital. Ratio of foreign capital (enter up to the first decimal place) 0102 %
(4) Year of company establishment and method and year of pure holding company establishment	0103	Year of company establishment (in four digits) ()	Year of pure holding company establishment (in four digits) ()
(5) Month of settlement of pure holding	0104	Annual (month)	Biannual (monthly) (month)
(6) Consumption tax status (Check the appropriate box)	0105	Tax excluded <input type="checkbox"/> Tax included <input type="checkbox"/>	
(7) Status of the use of consolidated tax payment system at pure holding company	0106	Circle the applicable number. 1. The system is being applied. 2. The system is not being applied.	Circle the applicable number for the method used to establish the pure holding company. 1. Corporate separation 2. Share transfers 3. Combination of share exchange and corporate separation 4. Other than above. Consolidated tax payment system is in accordance with Article 4-2 of Corporation Tax Act (Consolidated Taxpayer) and its application requires approval from Commissioner of the National Tax Agency.
(8) Whether or not pure holding company has a parent company	0107	(1) Circle the applicable number. 1. With a parent company → Go to (2). 2. Without a parent company → Go to the next page.	(2) Enter the name of the parent company.
Full name of person who completed survey form			
Department and address of person who will answer inquiries about the content of this form (person who completed form)	Department name Phone no. (Area code) (Ext.) Contact address (enter only if different from address of head office given above.)		
Remarks (enter if there is anything in particular that should be mentioned regarding the content of this form.)			

Ratio of foreign capital:

Please give the percentage of the company's total shares outstanding (or total investment) held by foreign investors.

Method used to establish the pure holding company:

See the figure on the next page.

Please give the year according to the Western calendar.

Please be sure to give the extension number, if there is one.

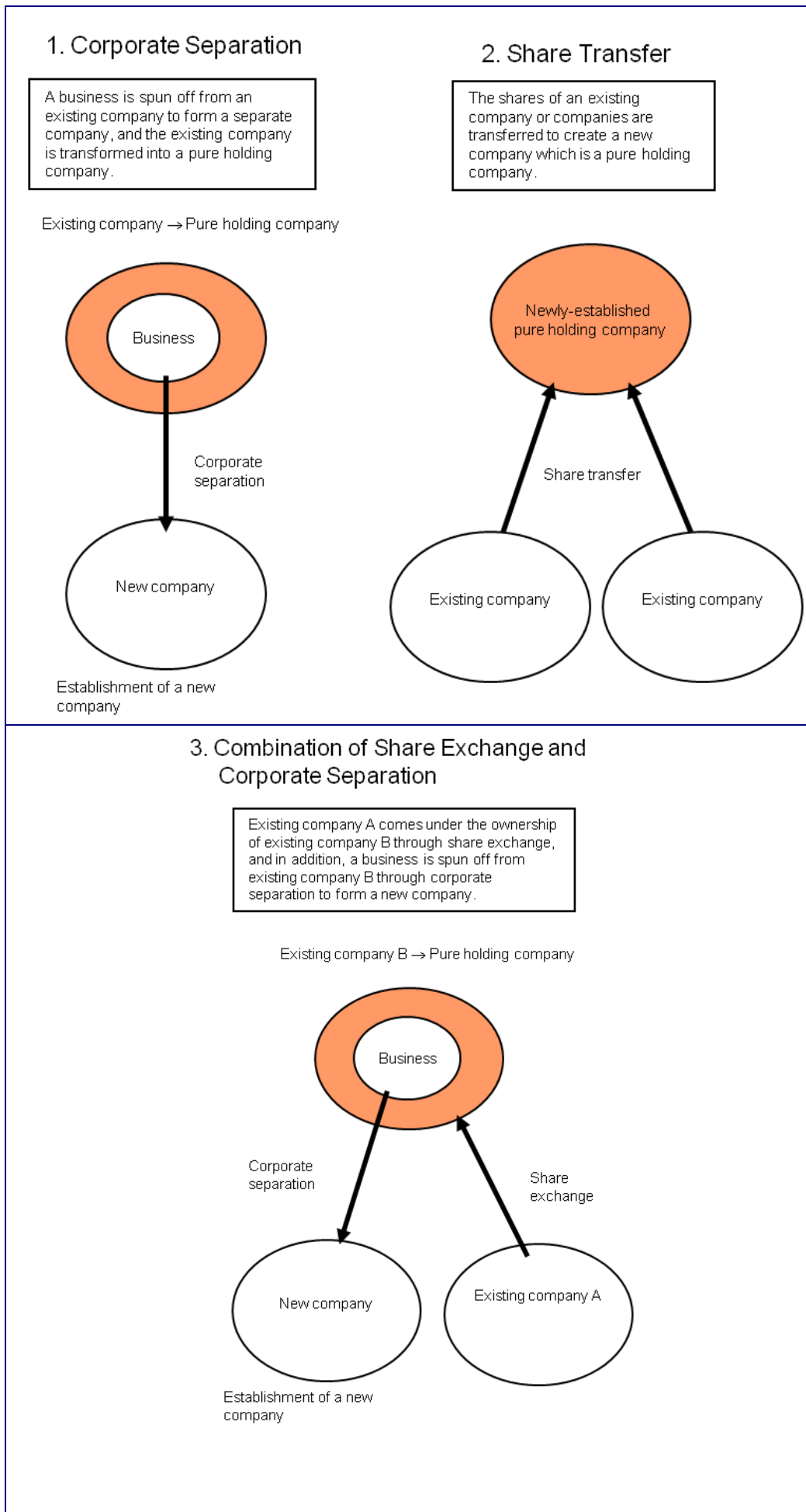
If you believe that your company does not in fact constitute a pure holding company, please give an explanation of the grounds for this belief in the Remarks field, and return the Survey Form.

(4) Year of company establishment, and method and year of pure holding company establishment

The method of pure holding company establishment will be one of the following (see figure on next page):

1. "Corporate separation" is where a business is spun off from an existing company to form a new subsidiary, and the existing company is transformed into a pure holding company.
2. "Share transfer" is where the shares of an existing company or companies are transferred to create a new company which is a pure holding company.
3. "Combination of share exchange and corporate separation" is where a business is spun off from an existing company to form a new subsidiary, and another existing company also becomes a wholly-owned subsidiary of the first existing company.
4. "Other than above" is where a pure holding company comes into being through a method other than 1, 2 or 3 above.

Regarding the “Method Used to Establish the Pure Holding Company” (0103), please refer to the following figure.



2. Business Content and Number of Workers of the Pure Holding Company (Unconsolidated)

(1) Business content of the pure holding company (unconsolidated)

Please enter details of the actual status of organization establishment, and organization business functions, for the pure holding company (unconsolidated). First, in the “Names of organizations” field, enter the names of all the organizations that rank highest in the organizational chart of the pure holding company (“XXX Headquarters,” “XXX Division,” “XXX Office,” etc.)

Next, read through the “List of classification items for types of functions performed” below, and select all of the functions that apply to each organization. Enter all of the applicable small-group item numbers for each applicable function in the “Types of functions performed” field.

Organization number	Names of organizations at the pure holding company (unconsolidated) (Specifically enter names of organizations) * There is no specific order for entry.	Types of functions performed (Enter all the applicable item numbers from small groups appearing in the “List of classification items for types of functions performed” on the next page. (When all the small-group’s item numbers of any large group are applicable, enter the large-group’s item number, instead.))
0201	1 Internal Auditing Office	4
	2 Corporate Strategy Planning Office	1, 2, 3, 5, 34, 35
	3 Finance and Accounting Department	6, 7, 8, 20
	4 Personnel Department	13, 19
	5 Legal Affairs Department	10, 12
	6 General Affairs Department	21, 36, 37
	7 Public Relations and Investor Relations Office	14, 15
	8 Technology Strategy Office	22
	9 Basic Research Institute	28
	10 IT Planning Department	H
	11 Purchasing and Logistics Management Office	24, 30
	12 Kansai Branch Office	32
	13 Beijing Representative Office	33
	14 New Business Development Office	38
	15	
	16	
	17	
	18	
	19	
	20	

- For “Names of organizations at the pure holding company (unconsolidated),” enter the actual names of all the organizations which rank highest in the organizational chart of the pure holding company.
- Many organizations which rank highest in the organizational chart will have names which end with a word such as “Headquarters,” “Department,” “Office,” “Center,” etc.
- If more space is needed, use the “supplementary paper” provided at the end of the Guide to complete the Survey, and enclose it with the Survey Form.

- For “Types of functions performed,” enter all the applicable functions performed by each organization of the pure holding companies (unconsolidated) from the “List of classification items for types of functions performed” provided on the next page.
- When entering the details, use the small-group item numbers (1 – 38) for the various functions.
- A particular small-group item number need not be entered at all if no organization performs the indicated function.
- If two or more organizations share the same function, enter the item number for that function in the fields of all applicable organizations.

In the “Types of functions performed” field, please enter the applicable functions chosen from the list of small-group items given below.

Large group items for types of functions	Small group items for types of functions	Content of small group items for types of functions
A. Group governance	1 Group management philosophy, vision, information sharing, and communication 2 Group decision making (establishment of overall management goals and business-specific goals, making of investment decisions, handling personnel matters in relation to the executives of each Group company, etc.) 3 Developing and implementing Group management strategies 4 Group business auditing 5 Monitoring and evaluating results	Deciding on the philosophy and values that the Group should embody, planning how the Group's business should develop in the future, and using the dissemination and development of this philosophy and vision within the Group to foster and maintain a sense of unity and cohesion. Clarifying the holding company's decision-making authority in relation to key business matters, and the making of operational decisions on the basis of this authority (i.e. deciding on the business goals that the Group should strive to achieve, deciding on the operational objectives that each individual Group member company needs to realize in order to achieve the Group's goals, deciding on the Group's investment framework, deciding on the management structure for each individual Group member company, etc.). Formulating long-term, medium-term and short-term business strategies that address the Group's business portfolio, the allocation of resources within the Group, entry into and withdrawal from new business areas, and other aspects of the strategies that the Group should be pursuing and the overall direction in which it should be heading. Implementing business auditing activities aimed at verifying the appropriateness of business implementation in each Group member company, improving the appropriateness of business implementation systems, implementing risk avoidance, etc. Designing the results evaluation system, measuring business results and key function performance for each Group member company, and developing action plans based on the results of evaluation.
B. Group financial and capital management	6 Group financial and capital management	Decision-making relating to the Group's securing and utilization of funding, implementation of cash-flow management, measurement of Group value, formulation of capital management strategy, etc.
C. Group accounting	7 Consolidated accounting 8 Budget management 9 Provision of guidance for Group member company accounting	Functions relating to consolidated adjustment for the purpose of preparing financial statements. Functions relating to budget formulation for each accounting term, monitoring of budget implementation status, analysis of forecast and actual results, and taking action in response to disparities between forecast and actual results, etc. Functions relating to the dissemination of Group accounting strategy throughout all Group member companies, enhancement of accounting capabilities and accounting efficiency, etc.
D. Group legal affairs	10 Group legal affairs	Functions relating to the maintenance and strengthening of the Group's compliance system, decision-making and consultation relating to legal matters, handling of external legal matters (court cases, etc.), measures aimed at improvement of the legal system, etc.
E. Group brand and intellectual property management	11 Group brand management 12 Group intellectual property management	Determination of the brand values that the Group should be espousing, formulation of the Group brand strategy, and enhancement of Group value through the provision of guidance, management etc. in relation to the maintenance of the Group brand. Formulation of the Group IP strategy, and enhancement of Group value through effective utilization of IP based on centralized IP management, etc.
F. Group human resources management	13 Group human resources management	Recruitment, cultivation and assignment of key managerial staff within the Group, establishment of sound personnel systems in each Group member company, etc.
G. Group PR and investor relations (IR)	14 Investor relations (IR) 15 Group PR 16 Group customer satisfaction (CS) management	Provision of information to the capital markets, and receipt of feedback from the capital markets, etc. Enhancement of Group image, strengthening of Group reputation, management of risk in relation to external reporting on the Group, etc. Formulation of the Group's customer satisfaction (CS) strategy, establishment of the CS-related PDCA framework, etc.
H. Group IT management	17 Group IT planning 18 Development, management and maintenance of systems within the Group	Formulation of Group IT strategy, improvement of Group IT infrastructure, optimization of the Group IT environment through centralized management, strengthening of information security, etc. Implementation of development, management and maintenance operations etc. aimed at the improvement of the IT environment.
I. Processing of shared administrative work within the Group	19 Personnel matters 20 Accounting work 21 General affairs	Coordination and streamlining of Group-wide operations relating to personnel management. Coordination and streamlining of Group-wide operations relating to accounting work. Coordination and streamlining of Group-wide operations relating to general affairs.
J. Developing cross-subsidiary strategies	22 Strategies related to research and technology development 23 Strategies related to production, production technology and product quality 24 Strategies related to supply chains (procurement and logistics) 25 Strategies related to markets and marketing 26 Strategies related to regional management within the domestic market 27 Strategies related to regional management in overseas markets	Identification of the areas on which the Group's research and technology development activities should focus, deciding on the allocation of resources, setting targets, etc. Formulation of strategies relating to the establishment of production locations within the Group, the scope of production functions, enhancement of production technology, etc. Formulation of strategies relating to supply chain optimization within the Group. Identification of key markets and key customers that the Group should focus on developing, and formulation of strategies to enhance the Group's position in relation to these markets and customers. Formulation of strategies relating to common issues regarding business location operation in key regions within the home market (including location of branches, management systems, etc.) Formulation of strategies relating to common issues regarding business location operation in key regions in overseas markets (including location of branches, management systems, etc.)
K. Performing cross-subsidiary functions	28 Research and technology development 29 Functions related to production and product quality assurance (manufacturing bases, production management, quality assurance, etc.) 30 Functions related to logistics and procurement (materials and purchasing) 31 Functions related to marketing, sales management, and sales office operation 32 Domestic bases for regional management 33 Overseas bases for regional management	Maintenance of the organizations required for implementation of Group-wide research and technology development. Maintenance of the organizations (factories, workshops etc.) required for the implementation of Group-wide production functions (including cost management, quality management, delivery management, etc.) Maintenance of the organizations (central research institutes, etc.) required for Group-wide procurement of materials and implementation of logistics functions, etc. Maintenance of the organizations (sales headquarters, branch companies and branch offices etc.) required for the implementation of Group-wide marketing, sales and CRM functions. Maintenance of the organizations (branch offices and branches) required for regional management within the home market. Maintenance of overseas base organizations.
L. Pure holding company sustainment and management	34 Planning and management of pure holding company earnings and expenses 35 Pure holding company personnel and labor management 36 Handling matters relating to pure holding company executives 37 Pure holding company general affairs	Planning the funding required for pure holding company operation, and securing the dividends, management data etc. from Group member companies required to realize this funding. Personnel and labor management operations for the pure holding company. Provision of secretarial and office functions for pure holding company executives. Provision of general affairs functions to support pure holding company operation.
M. Business activities performed by pure holding company	38 Business activities performed by the pure holding company	Business activities carried out directly by the pure holding company.

Please select "Business activities performed by pure holding company" if the functions listed in 1 – 37 above do not apply.

3. Number of Subsidiaries and Affiliates of the Pure Holding Company (Unconsolidated), and the Increase or Decrease in this Number

Please provide the required information regarding the status of the subsidiaries and affiliates of the pure holding company (unconsolidated) as of the fiscal year end.

(1) Number of subsidiaries and affiliates:

- i. Please enter the number of subsidiaries and affiliates of the pure holding company (unconsolidated) by industry and location (domestic or overseas). Please do not include subsidiaries and affiliates that are currently dormant (i.e. not operational).
- ii. When entering the number of subsidiaries and affiliates by industry classification number, please refer to the industry classification table of subsidiaries and affiliates on page 17 of this Guide.
- iii. If more space is needed, use the "supplementary paper" provided at the end of the Guide, and enclose it with the Survey Form.

Industry classification no.	Domestic		Overseas	
	No. of subsidiaries	No. of affiliates	No. of subsidiaries	No. of affiliates
Total				
Breakdown	0301			
	0302			
	0303			
	0304			
	0305			
	0306			
	0307			
	0308			
	0309			
	0310			

Industry classification number

- Please refer to the "Industry classification table of subsidiaries and affiliates" on page 17 of this Guide.

(2) Increase or decrease in the number of subsidiaries and affiliates:

Please enter the size of the increase or decrease in the number of subsidiaries and affiliates of the pure holding company (unconsolidated) over the one-year period from April 1, 2012 to March 31, 2013, by industry, domestic or overseas, and reason for change. When entering the change in the number of subsidiaries and affiliates by industry classification number, please refer to the industry classification table of subsidiaries and affiliates specified in section (1) above.

○ For each industry classification number, please fill out the details for "domestic" and "overseas" subsidiaries and affiliates on separate lines.

	Industry classification no.		Circle the applicable.		Number by which companies increased					Number by which companies decreased				
					Total	Newly established	Spin-off	Acquisition	Others	Total	Closure	Integration	Sellout	Others
	Domestic	Overseas												
Subsidiaries	0312	09	○		2	1		1		1	1			
	0313	09		○	3			3		2	1		1	
	0314	10	○		1		1			1		1		
	0315	10		○	2			2		0				
	0316													
	0317													
Affiliates	0318													
	0319													
	0320													
	0321													
	0322													
	0323													

[Remarks]

- A "subsidiary" refers to a company in which your company owns more than 50% of the voting rights. It includes a company in which the subsidiary, or you and the subsidiary combined, own more than 50% of the voting rights. It also includes a company that you practically controls, even if you owns 50% or less of the voting rights.
- An "affiliate" refers to a company in which your owns no less than 20% but no more than 50% of the voting rights. It also includes a company which you can seriously affect through holding 15% or more of the voting rights.
- "Newly established" refers to cases where a new company has been set up through founding a new business, etc.
- "Spin-off" refers to cases where a company has separated a business or part of the organization and established it as a separate company (subsidiary or affiliate).
- "Acquisition" refers to cases where voting rights have been acquired.
- "Closure" refers to cases where a company has stopped a subsidiary's or an affiliate's business activities and has not kept them running.
- "Integration" refers to cases of merger among subsidiaries and affiliates.
- "Sellout" refers to cases where voting rights have been assigned to other companies.
- If an industry saw increase or decrease in both "Domestic" and "Overseas," separate entries by "Domestic" or "Overseas."

4. Details of Financial Functions in Relation to Subsidiaries and Affiliates

(1) Degree of involvement of the pure holding company (unconsolidated) when a subsidiary or an affiliate raises funds

i) Circle the applicable number in regard to the involvement of the pure holding company in cases where subsidiaries or affiliates make loans from outside

0401

1. The pure holding company is always involved in cases where subsidiaries or affiliates make loans from outside.
2. The pure holding company is sometimes involved in cases where subsidiaries or affiliates make loans from outside.
3. The pure holding company is never involved in cases where subsidiaries or affiliates make loans from outside.
4. Subsidiaries or affiliates never make loans from outside.

(Note) "Outside" here refers to financial institutions and others outside the group. Loans from affiliates of the group's subsidiaries are not considered loans from outside.

ii) Circle the applicable number in regard to the involvement of the pure holding company in cases where subsidiaries or affiliates issue bonds.

0402

1. The pure holding company is always involved in cases where subsidiaries or affiliates issue bonds.
2. The pure holding company is sometimes involved in cases where subsidiaries or affiliates issue bonds.
3. The pure holding company is never involved in cases where subsidiaries or affiliates issue bonds.
4. Subsidiaries or affiliates never issue bonds.

iii) Circle the applicable number in regard to the involvement of the pure holding company in cases where loans are made to and from subsidiaries or affill

0403

1. The pure holding company is always involved in cases where loans are made to and from subsidiaries or affiliates.
2. The pure holding company is sometimes involved in cases where loans are made to and from subsidiaries or affiliates.
3. The pure holding company is never involved in cases where loans are made to and from subsidiaries or affiliates.
4. Subsidiaries or affiliates never make loans to or from other subsidiaries or affiliates.

iv) Circle the applicable number in regard to the pure holding company's loan guarantees (incl. other acts similar to guarantees) in cases where subsidiaries or affiliates make loans from outside.

0404

1. The pure holding company always provides loan guarantees (incl. other acts similar to guarantees) in cases where subsidiaries or affiliates make loans from outside. → **Go to (2)**
2. The pure holding company sometimes provides loan guarantees (incl. other acts similar to guarantees) in cases where subsidiaries or affiliates make loans from outside. → **Go to (2)**
3. The pure holding company never provides loan guarantees (incl. other acts similar to guarantees) in cases where subsidiaries or affiliates make loans from outside. → **Go to 5(1)**
4. Subsidiaries or affiliates never make loans from outside. → **Go to 5(1)**

(Note) "Outside" here refers to financial institutions and others outside the group. Loans from affiliates of the group's subsidiaries are not considered loans from outside.

(2) Outstanding loan guarantees (including other acts similar to guarantees) provided to subsidiaries and affiliates by the pure holding company (unconsolidated)

(As of the fiscal year end)

Classification		10 billion	1 trillion	100 billion	10 billion	1 billion	100 million	10 million	1 million
Outstanding loan guarantees (incl. other acts similar to guarantees) provided to subsidiaries and affiliates	0405								
Long-term loans	0406								
Short-term loans	0407								

- "Long-term loans" here refers to outstanding loans guaranteed for consolidated group companies which are to come due in a greater than one-year period.
- "Short-term loans" here refers to outstanding loans guaranteed for consolidated group companies which are to come due in a period of one year or less.

5. Relationship between the Assets/Liabilities of the Pure Holding Company (Unconsolidated) and its Group Companies

(1) Assets of the pure holding company (unconsolidated)

(As of the fiscal year end)

Accounting item		10 trillion	1 trillion	100 billion	10 billion	1 billion	100 million	10 million	1 million
Assets	Current assets	0501							
	Short-term loans (receivable)	0502							
	To group companies	0503							
	Fixed assets	0504							
	Tangible fixed assets	0505							
	Intangible fixed assets	0506							
	Investments and other assets	0507							
	Shares of subsidiaries and affiliates	0508							
	Long-term loans (receivable)	0509							
	To group companies	0510							
Total assets	0511								

(Note1) "Group companies" include subsidiaries, affiliates, and a parent company of the pure holding company.

(Note) Highlighted items (0501, 0504-0508, 0511) may be left blank if the company submits an "annual survey form for Financial Statements Statistics of Corporations by Industry" by Ministry of Finance.

(2) Assets on the consolidated financial statement

(As of the fiscal year end)

		10 trillion	1 trillion	100 billion	10 billion	1 billion	100 million	10 million	1 million
Total assets	0512								

(3) Liabilities and net assets of the pure holding company (unconsolidated)

(As of the fiscal year end)

Accounting item		10 trillion	1 trillion	100 billion	10 billion	1 billion	100 million	10 million	1 million
Liabilities	Current liabilities	0513							
	Short-term loans payable	0514							
	From group companies	0515							
	Fixed liabilities	0516							
	Long-term loans payable	0517							
	From group companies	0518							
Net assets	Stockholder's equity								
	Capital	0519							
	Capital surplus	0520							
	Earned surplus	0521							
	Own stock	0522							
Other than above	0523								
Total liabilities and net assets	0524								

(Note) For group companies, please refer to the (Note) of (1).

(Note) Highlighted items (0513-0514, 0516-0517, 0519-0524) may be left blank if the company submits an "annual survey form for Financial Statements Statistics of Corporations by Industry" by Ministry of Finance.

(Note) "Other (0523)" includes land revaluation balance, market value balance on financial products, etc.

5. Relationship between the Assets/Liabilities of the Pure Holding Company (Unconsolidated) and its Group Companies

(1) Assets of the pure holding company (unconsolidated)

The individual items covered by the survey are defined as follows:

Current assets	The sum total of cash on hand and in banks, notes and accounts receivable, marketable securities, inventories, etc.
Short-term loans receivable	That part of current assets comprising loans repayable within one year.
Of which, loans to affiliates	That part of short-term loans receivable comprising loans made to affiliates.
Fixed assets	The sum total of tangible fixed assets, intangible fixed assets, and investments and other fixed assets.
Tangible fixed assets	Land, buildings and structures, machinery and equipment, vessels, vehicles and other transportation equipment, tools, apparatus and fixtures, buildings under construction, etc.
Intangible fixed assets	Goodwill, patents, trademarks, utility model rights, design rights, land leasehold rights, superficies, mining rights, fishing rights, common piscary rights, software, etc.
Investments and other fixed assets	Investments in securities, long-term loans receivable, investments in real estate, security deposits and long-term accounts receivable, etc.
Shares of subsidiaries and affiliates	That part of investments and other fixed assets comprising shares of subsidiaries and affiliates.
Long-term loans receivable	Loans repayable within a period of one year or more.
Of which, loans to affiliates	That part of long-term loans receivable comprising loans made to affiliates.
Total assets	The sum total of current assets and fixed assets

(2) Assets recorded on the consolidated financial statements

This refers to total assets as recorded on a consolidated basis in the consolidated financial statements.

(1) Liabilities and net assets of the pure holding company (unconsolidated)

The individual items covered by the survey are defined as follows:

Liabilities	Current liabilities	Notes and accounts payable, short-term loans payable (loans from financial institutions), short-term loans payable (loans from parties other than financial institutions), and other current liabilities.
	Short-term loans payable	That part of loans from banks and other financial institutions, individuals, and suppliers and customers, etc., which are repayable within one year.
	Of which, loans from affiliates	That part of short-term loans payable comprising loans from affiliates.
	Fixed liabilities	Corporate bonds, long-term loans payable (loans from financial institutions), long-term loans payable (loans from parties other than financial institutions), and other fixed liabilities.
	Long-term loans payable	That part of loans from banks and other financial institutions, individuals, and suppliers and customers etc, which are repayable within a period of one year or more.
	Of which, loans from affiliates	That part of long-term loans payable comprising loans from affiliates.
Net assets	Shareholders' equity	
	Capital stock	Capital stock and capital subscribed.
	Capital surplus	Capital reserves (paid-in capital in excess of par value, share swap surplus, share transfer surplus, surplus from establishment of a new venture by divestiture, surplus from divestiture of a business to a successor firm, surplus from merger), and other capital surplus (gain on disposal of treasury shares, loss on disposal of treasury shares, and transfer from common stock and capital surplus reserve).
	Earned surplus	Retained earnings reserve, and other earned surplus (voluntary reserve, sinking fund reserve, technology research reserve, business expansion reserve, retirement benefits reserve, <i>Special Taxation Measures Law</i> reserve, reserve for overseas investment loss, etc.)
	Treasury stock	The company's own stock held by the company itself.
Other	Valuation difference on available-for-sale securities, valuation difference on revaluation of land, subscription rights to shares, etc.	
Total liabilities and net assets		The sum total of liabilities and net assets.

6. Details of Owned Industrial Property Rights, and the Number of Persons Dealing with Intellectual Property

(1) Details of owned industrial property rights

(As of the fiscal year end)

Types		No. of items owned by the whole group	No. of items owned by the pure holding company (unconsolidated)
Patent	0601		
Utility model right	0602		
Design right	0603		
Trademark right	0604		

(Note) "Whole group" refers to the total of the consolidated companies, if consolidated accounting is adopted. If consolidated accounting is not adopted, enter the total of the pure holding company, subsidiaries, and affiliates.

"No. of items owned by the whole group"
This refers to the number of items owned by the entire business group, including the pure holding company's consolidated affiliates.

Patent (0601):
This refers to the exclusive right to the use of an invention, registered under Japan's *Patent Act* or similar overseas legislation.
Utility model right (0602):
This refers to a right, registered under Japan's *Utility Model Act* or similar overseas legislation, relating to the shape or structure of an object or the way in which objects are combined.
Design right (0603):
This refers to a right, registered under Japan's *Design Act* or similar overseas legislation, relating to the shape, pattern or color of an object.
Trademark right (0604):
This refers to a right established under Japan's *Trademark Act* or similar overseas legislation to safeguard the business reputation of the trademark users and protect the rights of users of the trademarked product.

(2) Number of persons dealing with intellectual property

(As of the fiscal year end)

Classification		No. of persons
No. of persons dealing with intellectual property in the whole group	0605	
No. of persons dealing with intellectual property in the pure holding company (unconsolidated)	0606	

(Note) In cases where people dealing with intellectual property have other responsibilities as well, count and enter the number of only those who spend longer hours on intellectual-property related work than any

"No. of persons dealing with intellectual property"
This refers not only to those persons undertaking work relating to the process from identification of industrial property rights through to the acquisition of industrial property rights, or to the maintenance of industrial property rights, but also to those persons undertaking work relating to intellectual property rights management, evaluation, transactions, authorization or disputes, and those persons undertaking work required to support intellectual property rights activities, such as IP related planning, surveys, education, accounting, general affairs, etc.

7. Number of Officers and Corporate Governance

(1) Number of officers in the pure holding company (unconsolidated)

(As of the fiscal year end)

		Pure holding company (unconsolidated)							
		No. of directors		No. of operating officers or executive officers		No. of auditors	No. of other officers		Total no. of officers
0701									

(Note 1) Those who work as both "director" and "operating officer or executive officer", if any, are to be counted in both categories.
 (Note 2) "Other officers" include accounting advisors, etc.
 (Note 3) For "Total no. of officers", enter the total from "No. of directors" to "No. of other officers".

(2) Number of officers in the whole group excluding the pure holding company (unconsolidated) (total)

(As of the fiscal year end)

		Whole group excluding the pure holding company (unconsolidated) (total)							
		No. of directors		No. of operating officers or executive officers		No. of auditors	No. of other officers		Total no. of officers
0702									

(Note) "Whole group" refers to the total of the consolidated companies, if consolidated accounting is adopted. If consolidated accounting is not adopted, enter the total of the pure holding company, subsidiaries, and affiliates.
 Please enter "total number of persons". If a same person works as officer at two or more group companies, count as many not just one.

(3) Of those entered in (2), the number who concurrently work as an officer or full-time worker at the pure holding company, and number who have been transferred from the pure holding company (specifying "full time" or "part time")

(3) Of those entered in (2), number of those who concurrently work as officer or full-time worker at the pure holding company; and number of those who have been transferred from the pure holding company.

(As of the fiscal year end)

Classification		Whole group excluding the pure holding company (unconsolidated) (total)																		
		No. of directors		No. of operating officers or executive officers		No. of auditors		No. of other officers		Total no. of officers										
		Full time	Part time	Full time	Part time	Full time	Part time	Full time	Part time	Full time	Part time									
i) Of those entered in (2), no. of those who concurrently work as officer or full-time worker at the pure holding company	0703																			
ii) Of those entered in (2), no. of those who have been transferred from the pure holding company (excl. those with concurrent posts)	0704																			

(Note) "Full time" or "part time" is to be based on the person's working pattern in the whole group (excl. the pure holding company).

(Note) Please enter "total number of persons". If a same person works as officer at two or more group companies, count as many not just one.

(4) Circle the applicable number in regard to whether the respondent company is a company with committees.

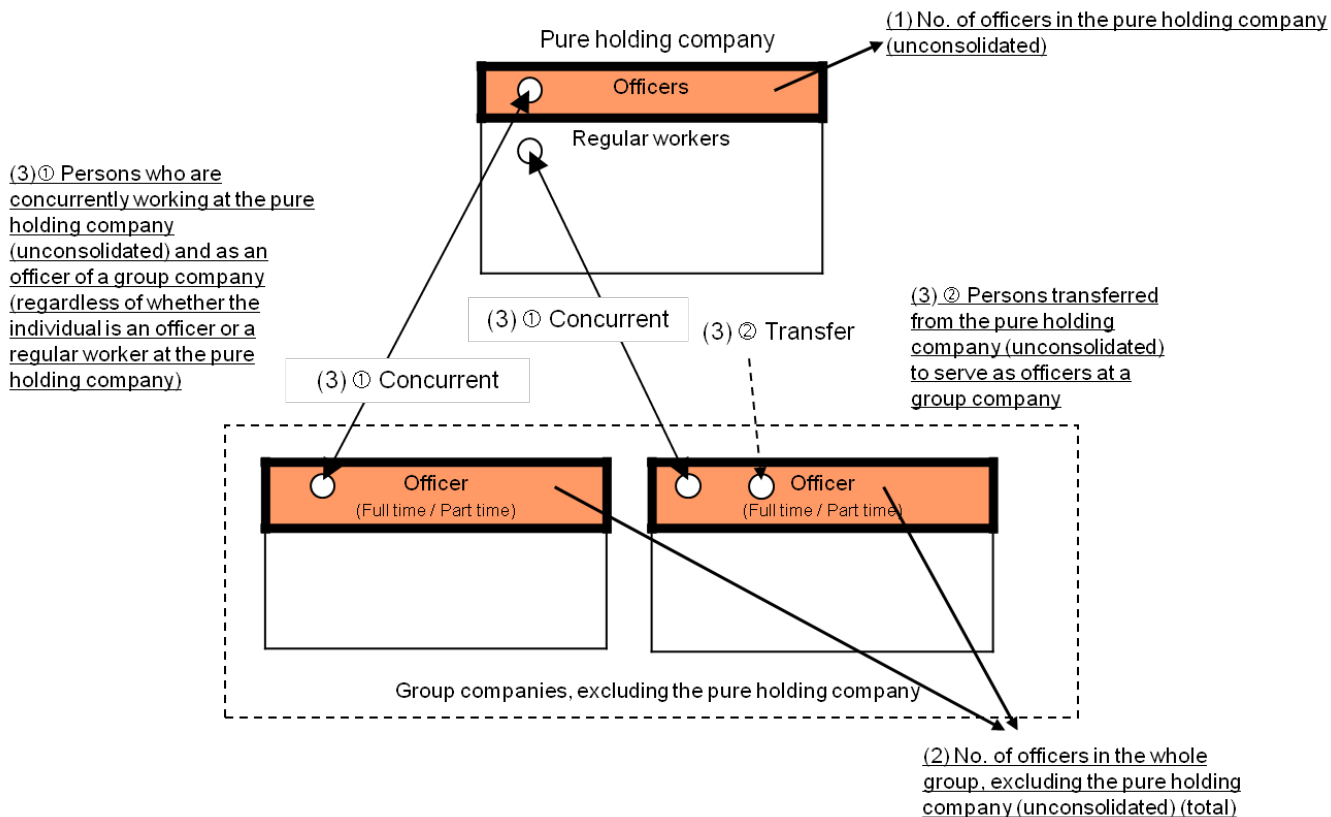
A "company with a committee-type governance structure" is a company with a governance structure whereby, instead of appointing a corporate auditor, the company has three committees (Nomination, Auditing, and Compensation) the members of which are appointed from among the Directors.

0705 Is the pure holding company (unconsolidated) a company with committees?

1. It is a company with committees.

2. It is not a company with committees.

With regard to (1) Number of officers in the pure holding company (unconsolidated) and (2) Number of officers in the whole group excluding the pure holding company (unconsolidated), please refer to the figure below when entering the number of persons (broken down into “full time” and “part time”) entered in (2) who concurrently work as an officer or full-time workers at the pure holding company (unconsolidated).



8. Sales / Operating Revenue

(1) Enter the sales or operating revenue, and any non-operating revenue, of the pure holding company (unconsolidated)

Classification		(Fiscal year)							
		10 billion	1 billion	100 billion	10 billion	1 billion	100 million	10 million	1 million
Sales or operating revenue	0801								
Non-operating income	0802								

(Note) Highlighted items (0801-0802) may be left blank—if the company submits an “annual survey form for Financial Statements Statistics of Corporations by Industry” by Ministry of Finance.

(2) Enter the breakdown of the sales or operating revenue, and non-operating revenue, of the pure holding company (unconsolidated), according to whether it has been generated in transactions with group companies or non-group companies.

Classification			Revenue generated from transactions with group companies							Revenue generated from transactions with non-group companies							
			10 trillion	1 trillion	100 billion	10 billion	1 billion	100 million	10 million	1 million	10 billion	1 trillion	100 billion	10 billion	1 billion	100 million	10 million
Sales or operating revenue	Dividends earned	0803															
	Interest earned	0804															
	Revenue from group management and other similar revenue	0805															
	Rent and rental fees of assets	0806															
	Revenue from business activities	0807															
	Other than above	0808															
Non-operating income	Dividends earned	0809															
	Interest earned	0810															
	Revenue from group management and other similar revenue	0811															
	Rent and rental fees of assets	0812															
	Revenue from business activities	0813															
	Other than above	0814															

[Remarks]

- “Group companies” include subsidiaries, affiliates, and a parent company of the pure holding company.
- “Revenue from business activities” refers to income generated through conducting business activities (excl. asset leasing) by the pure holding company itself apart from income gained from the group companies (incl. revenue from group management and other similar revenue).

(3) Dividends from the pure holding company (unconsolidated) to shareholders, and the payout ratio

Classification		(Fiscal year)							
		10 trillion	1 billion	100 billion	10 billion	1 billion	100 million	10 million	1 million
Dividends (incl. interim dividends)	0815								
Payout ratio (compared to net income after tax) (incl. interim dividends) (Enter up to the first decimal place.)	0816								%

Dividend payout ratio:
The fraction of net income that a firm pays to its shareholders in dividends, expressed as a percentage.
Dividend payout ratio = [Dividends / Net income for the same period] × 100

8. Sales / Operating Revenue

(2) Enter the breakdown of the sales or operating revenue, and non-operating revenue, of the pure holding company (unconsolidated), according to whether it has been generated in transactions with group companies or non-group companies.

Classification			Summary
Sales or operating revenue	Dividends earned	0803	Dividends earned on stocks held by the company (excluding those classified as non-operating revenue).
	Interest earned	0804	Interest earned on loans made by the company (excluding those classified as non-operating revenue).
	Revenue from group management and other similar revenue	0805	Revenue earned as consideration for services provided in relation to group management. Applicable accounting items falling under the pure holding company's sales or operating revenue would include: management charge revenue, group management revenue, group operation management revenue, management fees, management consulting fees, commission fees, business consignment fees, business consignment handling fees, trademark fees, etc. (excluding those classified as non-operating revenue).
	Rent and rental fees of assets	0806	Rental and usage fees for real estate property etc. (including assets such as apparatus and fixtures, software, etc.). Applicable accounting items falling under the pure holding company's sales or operating revenue would include: asset rental income, rent income on real estate, real estate business revenue, etc. (excluding those classified as non-operating revenue).
	Revenue from business activities	0807	Revenue (not including asset rental revenue) earned by the pure holding company from its own business activities (excluding those classified as non-operating revenue).
	Other	0808	Revenue not included in the above items (excluding those classified as non-operating revenue).
Non-operating income	Dividends earned	0809	That part of dividends earned on stocks held by the company that is classified as non-operating income.
	Interest earned	0810	That part of interest earned on loans made by the company that is classified as non-operating income.
	Revenue from group management and other similar revenue	0811	That part of revenue earned as consideration for services provided in relation to group management that is classified as non-operating income.
	Rent and rental fees of assets	0812	That part of rental and usage fees for real estate property etc. (including assets such as apparatus and fixtures, software, etc.) that is classified as non-operating income.
	Revenue from business activities	0813	Revenue (not including asset rental revenue) earned by the pure holding company from its own business activities that is classified as non-operating income.
	Other	0814	Non-operating revenue not included in the above items.

9. Classification of Different Businesses of the Whole Group

Enter component ratios of sales or operating revenue of the whole group by segment.

Please enter the symbol corresponding to the most applicable option for each business segment from the business classification list.

Reference no.	Segment names (Segment names used in the whole group)	Component ratios of sales or operating revenue (%)	The most applicable business classification (Choose one from the following options.)
0901 May be listed in any order.	1		
	2		
	3		
	4		
	5		
	6		
	7		
	8		
	9		
	10		
		Other than above	
Total		100.0	

Segment names are the names used when issuing consolidated financial statements etc. in order to distinguish between different businesses, regional operations etc.

(Note 1) "Whole group" refers to the total of the consolidated companies, if consolidated accounting is adopted. If consolidated accounting is not adopted, "whole group" indicates the total of the pure holding company, subsidiaries, and affiliates.

(Note 2) Composition ratios of sales or operating revenue must be classified and entered until they exceed 95%. For the remainder after reaching 95%, if it is broken down into many businesses, classification of each is not necessary and they can be lumped together under "Other than above".

(Note 3) If options for "business classification" provided below are not clear enough, please refer to the "Business classification table of subsidiaries and affiliates" in the page 17 and find the most applicable Major-group item numbered in two digits (e.g. 01 Agriculture) for each segment and enter the Division code (e.g. A: Agriculture and Forestry) which the Major-group item belongs to.

Options for "business classification"

- A Agriculture and forestry
- B Fisheries
- C Mining and quarrying of stone and gravel
- D Construction
- E Manufacturing
- F Electricity, gas, heat supply and water
- G Information and communications
- H Transport and postal activities
- I Wholesale and retail trade
- J Finance and insurance
- K Real estate and goods rental and leasing
- L Scientific research, professional and technical services
- M Accommodations, eating and drinking services
- N Living-related and personal services and amusement services
- O Education, learning support
- P Medical, health care and welfare
- Q Compound services
- R Services, N.E.C.

Attachment

No. of Subsidiaries and Affiliates - Industry Classification Table

Please use the industry classification numbers given in this table when filling out Section 3. Number of Subsidiaries and Affiliates of the Pure Holding Company (Unconsolidated), and the Increase or Decrease in this Number on Page 4. Please note that the industry classification used corresponds to the Japan Standard Industrial Classification (October 2013 version).

[A - Agriculture and forestry]		[I - Wholesale and retail trade]	
01	Agriculture	50	Wholesale trade, general merchandise
02	Forestry	51	Wholesale trade (textile and apparel)
[B - Fisheries]		52	Wholesale trade (food and beverages)
03	Fisheries, except aquaculture	53	Wholesale trade (building materials, minerals and metals, etc.)
04	Acquaculture	54	Wholesale trade (machinery and equipment)
[C - Mining and quarrying of stone and gravel]		55	Miscellaneous w holesale trade
05	Mining and quarrying of stone and gravel	56	Retail trade, general merchandise
[D - Construction]		57	Retail trade (w oven fabrics, apparel, apparel accessories and notions)
06	Construction work,general including public and private construction work	58	Retail trade (food and beverage)
07	Construction work by specialist contractor, except equipment installation work	59	Retail trade (machinery and equipment)
08	Equipment installation w ork	60	Miscellaneous retail trade
[E - Manufacturing]		61	Nonstore retailers
09	Manufacture of food	[J - Finance and insurance]	
10	Manufacture of beverages, tobacco and feed	62	Banking
11	Manufacture of textile products	63	Financial institutions for cooperative organizations
12	Manufacture of lumber and w ood products, except furniture	64	Non-deposit money corporations, including lending and credit card business
13	Manufacture of furniture and fixtures	65	Financial products transaction dealers and futures commodity transaction dealers
14	Manufacture of pulp, paper and paper products	66	Financial auxiliaries
15	Printing and allied industries	67	Insurance institutions, including insurance agents, brokers and services
16	Manufacture of chemical and allied products	[K - Real estate and good rental and leasing]	
17	Manufacture of petroleum and coal products	68	Real estate agencies
18	Manufacture of plastic products, except otherw ise classified	69	Real estate lessors and managers
19	Manufacture of rubber products	70	Goods rental and leasing
20	Manufacture of leather tanning, leather products and fur skins	[L - Scientific research, professional and technical services]	
21	Manufacture of ceramic, stone and clay products	71	Scientific and development research institutes
22	Manufacture of iron and steel	72	Professional services, N.E.C.
23	Manufacture of non-ferrous metals and products	73	Advertising
24	Manufacture of fabricated metal products	74	Technical services, N.E.C.
25	Manufacture of general-purpose machinery	[M - Accommodations, eating and drinking services]	
26	Manufacture of production machinery	75	Accommodations
27	Manufacture of business-oriented machinery	76	Eating and drinking places
28	Electronic parts, devices and electronic circuits	77	Food take out and delivery services
29	Manufacture of electrical machinery, equipment and supplies	[N - Living-related and personal services and amusement services]	
30	Manufacture of information and communication electronics equipment	78	Laundry, beauty and bath services
31	Manufacture of transportation equipment	79	Miscellaneous living-related and personal services
32	Miscellaneous manufacturing industries	80	Services for amusement and recreation
[F - Electricity, gas, heat supply and w ater]		[O - Education, learning support]	
33	Production, transmission and distribution of electricity	81	School education
34	Production and distribution of gas	82	Miscellaneous education, learning support
35	Heat supply	[P - Medical, health care and w elfare]	
36	Collection, purification and distribution of water, and sewage collection, processing disposal	83	Medical and other health services
[G - Information and communications]		84	Public health and hygiene
37	Communications	85	Social insurance, social w elfare and care services
38	Broadcasting	[Q - Compound services]	
39	Information services	86	Postal services
40	Services incidental to Internet	87	Cooperative associations, N.E.C.
41	Video picture information, sound information and character information production and distribution	[R - Services, N.E.C.]	
[H - Transport and postal services]		88	Waste disposal business
42	Railw ay transport	89	Automobile maintenance services
43	Road passenger transport	90	Machine,, etc. repair services, except otherw ise classified
44	Road freight transport	91	Employment and w orker dispatching services
45	Water transport	92	Miscellaneous business services
46	Air transport	93	Political, business and cultural organizations
47	Warehousing	94	Religion
48	Services incidental to transport	95	Miscellaneous services
49	Postal services including mail delivery		

Supplementary Paper

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Name of company: _____

2. Business Content and Number of Workers of the Pure Holding Company (Unconsolidated)

(1) Business content of the pure holding company (unconsolidated)

(As of the fiscal year end)

Organization number	Names of organizations at the pure holding company (unconsolidated) (Specifically enter names of organizations) * There is no specific order for entry.	Types of functions performed (Enter all the applicable item numbers from small groups appearing in the "List of classification items for types of functions performed" on the next page. (When all the small-group's item numbers of any large group are applicable, enter the large-group's item number, instead.))
0201	21	
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	60	

Perforated line

Supplementary

Paper

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Name of company: _____

3. Number of Subsidiaries and Affiliates of the Pure Holding Company, and the Increase or Decrease in this Number

(1) Please enter the number of subsidiaries and affiliates of the pure holding company (unconsolidated) by industry and location (domestic or overseas).

(As of the fiscal year end)

Industry classification no.	Domestic		Overseas	
	No. of subsidiaries	No. of affiliates	No. of subsidiaries	No. of affiliates
Total				
Breakdown				

..... (Perforated line)

Supplementary

Paper

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Name of company: _____

3. Number of Subsidiaries and Affiliates of the Pure Holding Company, and the Increase or Decrease in this Number

(2) Please enter the size of the increase or decrease in the number of subsidiaries and affiliates of the pure holding company (unconsolidated) over the one-year period from April 1, 2012 to March 31, 2013.

	Industry classification no.	Circle the applicable.		Number by which companies increased					Number by which companies decreased				
		Domestic	Overseas	Total	Newly established	Spin-off	Acquisition	Others	Total	Closure	Integration	Sellout	Others
Subsidiaries													
Affiliates													

..... (Perforated line)