



Ministry of Economy, Trade and Industry
General statistics

2014 Survey of pure holding companies

the Survey of Pure Holding Companies Survey Form

(As of March 31, 2014)

- ☆ This survey is a general statistical survey in accordance with the Statistics Act (2007, Act No. 53).
- ☆ Please provide information as of March 31, 2014, for this survey and enter the settlement results for fiscal 2013. If this is not possible, enter results for the nearest settlement term.
- ☆ Information in this survey form will be used only to compile statistics and will not be used in any way that might advantage or disadvantage the reporter. Please provide accurate and true information.
- ☆ Please refer to the Guide for Completing the Survey and enter clearly using a black or blue ball-point pen.
All figures must be entered in the units specified by the survey.
- ☆ Please submit this survey form by July 15, 2014.

1 Profile of pure holding company (unconsolidated)

(1) Pure holding company name							Phone no. (Main line)				
(2) Address of pure holding company head office (location where actual head office functions are performed)	〒										
(3) Capital or investment size of pure holding company (as of March 31, 2014)	0101	1 trillion	100 Billion	10 Billion	Billion	100 million	10 million	1 million	Enter the ratio of foreign capital to the pure holding company's overall capital.	Ratio of foreign capital (enter up to the first decimal place)	
									0102	%	
(4) Year of company establishment and method and year of pure holding company establishment	0103	Year of company establishment (in four digits)			Year of pure holding company establishment (in four digits)		Circle the applicable number for the method used to establish the pure holding company. 1. Corporate separation 2. Share transfers 3. Combination of share exchange and corporate separation 4. Other than above				
(5) Month of settlement of pure holding company	0104	Annual			(month)	Biannual	(month),		(month)		
(6) Consumption tax status (Check the appropriate box)	0105	Tax excluded <input type="checkbox"/>		Tax included <input type="checkbox"/>							
(7) Status of the use of consolidated tax payment system at pure holding company	0106	Circle the applicable number. 1. The system is being applied. 2. The system is not being applied.					☆Consolidated tax payment system is in accordance with Article 4-2 of Corporation Tax Act (Consolidated Taxpayer) and its application requires approval from Commissioner of the National Tax Agency.				
(8) Whether or not pure holding company has a parent company	0107	(1) Circle the applicable number. 1. With a parent company → Go to (2). 2. Without a parent company → Go to the next page.					(2) Enter the name of the parent company.				

Full name of person who completed survey form		
Department and address of person who will answer inquiries about the content of this form (person who completed form)	Department name	
	Phone no. (Area code) (Ext.)	
	Contact address (enter only if different from address of head office given above.)	
Remarks (enter if there is anything in particular that should be mentioned regarding the content of this form.)		

(To be completed by the Ministry of Economy, Trade and Industry)

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Business content and number of workers at pure holding company (unconsolidated)

(1) Business content of the pure holding company (unconsolidated)

(As of the fiscal year end)

Organization number	Names of organizations at the pure holding company (unconsolidated) (Specifically enter names of organizations) * There is no specific order for entry.	Types of functions performed
		(Enter all the applicable item numbers from small groups appearing in the "List of classification items for types of functions performed" on the next page. (When all the small-group's item numbers of any large group are applicable, enter the large-group's item number, instead.))
020 1	1	
	2	
	3	
	4	
	5	
	6	
	7	
	8	
	9	
	10	
	11	
	12	
	13	
	14	
	15	
	16	
	17	
	18	
	19	
	20	

(Note 1) For "Names of organizations at pure holding company (unconsolidated)," enter the actual names of all the organizations which rank highest in the organizational chart of the pure holding company.

(Note 2) Many organizations which rank highest in the organizational chart have names which end with a word such as "Department," "Office," or "Center."

Note 3) If more space is required, use the "supplementary paper" provided at the end of the Guide for completing the Survey and enclose it with this Survey Form.

(Note 1) For "Types of functions performed," enter all the applicable functions performed by each organization of the pure holding company (unconsolidated) from the "List of classification items for types of functions performed" provided in the next page. (Note 2) When entering answers, use small-group's item numbers (2 or 3 digits). (Note 3) Do not enter a small-group's item number if no organization performs the indicated function. (Note 4) If two or more organizations share a function, enter its item number in the fields of all the applicable organizations.

(2) Number of workers by their division at the pure holding company (unconsolidated)

(As of the fiscal year end)

Divisions	Number of regular workers (excluding workers transferred to other companies, etc.)	Number of workers	
		Number of full-time workers	Number of workers transferred from subsidiaries or affiliates
0202	Corporate planning		
0203	General affairs		
0204	Human resources		
0205	Accounting and financial		
0206	Legal affairs		
0207	Research and development		
0208	International operations		
0209	Other than above		
0210	Total		

0211 Number of workers who work for subsidiaries or affiliates as well

Number of dispatched workers

(Note) "Number of regular workers" includes the number of paid directors and regularly employed persons (persons, regardless of their titles as full-time, part-time, temporary or contract employees, employed under a contract longer than one month, or persons employed for 18 days or more each month in the two months prior to the end of the financial year or the most recent settlement term). "Number of regular workers" also includes workers transferred to other companies whose salary is paid (borne) mainly by the pure holding company (unconsolidated). For part-time employees, calculate in terms of the working hours of full-time workers at the pure holding company (unconsolidated) and enter the number rounded off to the nearest whole number. (e.g. Two part-timer employees, each of whom works half as many hours as a full-time worker, make one full-time worker.)
As well, if a person who works for two or more different divisions, include the person only in the division where they spend the most of their time in order to avoid double counting.
Do not include the number of workers transferred to other companies in "Number of regular workers (0202-0210)" but enter it in "Workers transferred to other companies, etc. (0212)".

0212 Workers transferred to other companies, etc.

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(Note) For "Workers transferred to other companies, etc.," enter the number of workers transferred to subsidiaries or affiliates whose salary is paid mainly by the pure holding company (unconsolidated).

(Note) For "Number of full-time workers," enter the number of regular workers generally referred to as permanent employee.

(Note 1) A "subsidiary" refers to a company in which your company owns more than 50% of the voting rights. It includes a company in which the subsidiary, or you and the subsidiary combined, own more than 50% of the voting rights. It also includes a company that you practically control, even if you owns 50% or less of the voting rights.
(Note 2) An "affiliate" refers to a company in which you owns no less than 20% but no more than 50% of the voting rights. It also includes a company which you can seriously affect through holding 15% or more of the voting rights.

(Note) "Number of dispatched workers" includes workers who are employed by a worker dispatching business operator and are engaged in your company's operations under your company's supervision and command, based on a contract between your company and the worker dispatching business operator concerned, under the aforementioned employment relationship.

List of classification items for types of functions performed

Large-group items for types of functions	Small-group items for types of functions
A. Group governance	1 Group management philosophy, vision, information sharing, and communication 2 Group decision making (establishment of management goals and goals by business, investment decision, personnel affairs of executives of each group company, etc.) 3 Developing and promoting group management strategies 4 Group business auditing 5 Monitoring and evaluating results
B. Group financial and capital management	6 Group financial and capital management
C. Group accounting	7 Consolidated accounting 8 Budget management 9 Guidance of group company accounting
D. Group legal affairs	10 Group legal affairs
E. Group brand and intellectual property management	11 Group brand management 12 Group intellectual property management
F. Group human resources management	13 Group human resources management
G. Group PR and IR (Public relations for investors)	14 IR 15 Group PR 16 Group CS (Customer Satisfaction) management
H. Group IT (Information Telecommunications) management	17 Group IT planning 18 Development, management, and maintenance of systems inside the group
I. Processing of shared administrative work in the group	19 Personnel affairs 20 Accounting work 21 General affairs
J. Developing cross-business-subsidary strategies	22 Strategies related to research and technology development 23 Strategies related to production, production technology, and product quality 24 Strategies related to supply chains (procurement and logistics) 25 Strategies related to market and marketing 26 Strategies related to domestic regional management 27 Strategies related to overseas regional management
K. Performing cross-business-subsidary functions	28 Research and technology development 29 Functions for production and product quality warranty (Manufacturing bases, production management, product quality warranty, etc.) 30 Functions for logistics and procurement (materials and purchasing) 31 Marketing, sales management, and functions for sales bases 32 Domestic bases for regional management 33 Overseas bases for regional management
L. Maintenance and management of pure holding company	34 Planning and management of earnings and expenses of pure holding company 35 Personnel and labor management of pure holding company 36 Dealing with executives of pure holding company 37 General affairs of pure holding company
M. Business activities performed by pure holding company	38 Business activities performed by pure holding company

(Note) Please refer to page 5 of the "Guide for Completing the Survey" for details of small-group items.

(Note) "Group" here refers to subsidiaries and affiliates of a pure holding company (unconsolidated).

(Note) Area of strategies where cross-business involvement of a holding company brings more advantageous business strategies than business subsidiaries separately develop.

(Note) Area of functions where cross-group involvement of a holding company enables more advantageous business functions than business subsidiaries separately perform.

(1) Enter the number of subsidiaries and affiliates of the pure holding company (unconsolidated) by industry and location (domestic or overseas)
(As of the fiscal year end)

Industry classification no.	Domestic		Overseas	
	No. of subsidiaries	No. of affiliates	No. of subsidiaries	No. of affiliates
Total				
Breakdown	0301			
	0302			
	0303			
	0304			
	0305			
	0306			
	0307			
	0308			
	0309			
	0310			

(Note) For industry classification numbers, please refer to "Industry classification table of subsidiaries and affiliates" in page 17 of the "Guide for Completing the Survey".

(2) Number of increase and decrease of subsidiaries and affiliates of the pure holding company (unconsolidated)
(Number of increase and decrease the 1 year period from April 1st, 2013 to March 31, 2014)

	Industry classification no.	Circle the applicable.		Number by which companies increased					Number by which companies decreased				
		Domestic	Overseas	Total	Newly established	Spin-off	Acquisition	Others	Total	Closure	Integration	Sellout	Others
Subsidiaries	0312												
	0313												
	0314												
	0315												
	0316												
	0317												
Affiliates	0318												
	0319												
	0320												
	0321												
	0322												
	0323												

[Remarks]

- A "**subsidiary**" refers to a company in which your company owns more than 50% of the voting rights. It includes a company in which the subsidiary, or you and the subsidiary combined, own more than 50% of the voting rights. It also includes a company that you practically controls, even if you owns 50% or less of the voting rights.
- An "**affiliate**" refers to a company in which your owns no less than 20% but no more than 50% of the voting rights. It also includes a company which you can seriously affect through holding 15% or more of the voting rights.
- "**Newly established**" refers to cases where a new company has been set up through founding a new business, etc.
- "**Spin-off**" refers to cases where a company has separated a business or part of the organization and established it as a separate company (subsidiary or affiliate).
- "**Acquisition**" refers to cases where voting rights have been acquired.
- "**Closure**" refers to cases where a company has stopped a subsidiary's or an affiliate's business activities and has not kept them running.
- "**Integration**" refers to cases of merger among subsidiaries and affiliates.
- "**Sellout**" refers to cases where voting rights have been assigned to other companies.
- If an industry saw increase or decrease in both "Domestic" and "Overseas," separate entries by "Domestic" or "Overseas."

4 Details of financial functions toward subsidiaries and affiliates

(1) Degree of involvement of the pure holding company (unconsolidated) when a subsidiary or an affiliate raises funds

i) Circle the applicable number in regard to the involvement of the pure holding company in cases where subsidiaries or affiliates make loans from outside.

0401

1. The pure holding company is always involved in cases where subsidiaries or affiliates make loans from outside.
2. The pure holding company is sometimes involved in cases where subsidiaries or affiliates make loans from outside.
3. The pure holding company is never involved in cases where subsidiaries or affiliates make loans from outside.
4. Subsidiaries or affiliates never make loans from outside.

(Note) "Outside" here refers to financial institutions and others outside the group. Loans from affiliates of the group's subsidiaries are not considered loans from outside.

ii) Circle the applicable number in regard to the involvement of the pure holding company in cases where subsidiaries or affiliates issue bonds.

0402

1. The pure holding company is always involved in cases where subsidiaries or affiliates issue bonds.
2. The pure holding company is sometimes involved in cases where subsidiaries or affiliates issue bonds.
3. The pure holding company is never involved in cases where subsidiaries or affiliates issue bonds.
4. Subsidiaries or affiliates never issue bonds.

iii) Circle the applicable number in regard to the involvement of the pure holding company in cases where loans are made to and from subsidiaries or affiliates.

0403

1. The pure holding company is always involved in cases where loans are made to and from subsidiaries or affiliates.
2. The pure holding company is sometimes involved in cases where loans are made to and from subsidiaries or affiliates.
3. The pure holding company is never involved in cases where loans are made to and from subsidiaries or affiliates.
4. Subsidiaries or affiliates never make loans to or from other subsidiaries or affiliates.

iv) Circle the applicable number in regard to the pure holding company's loan guarantees (incl. other acts similar to guarantees) in cases where subsidiaries or affiliates make loans from outside.

0404

1. The pure holding company always provides loan guarantees (incl. other acts similar to guarantees) in cases where subsidiaries or affiliates make loans from outside → **Go to (2)**
2. The pure holding company sometimes provides loan guarantees (incl. other acts similar to guarantees) in cases where subsidiaries or affiliates make loans from outside → **Go to (2)**
3. The pure holding company never provides loan guarantees (incl. other acts similar to guarantees) in cases where subsidiaries or affiliates make loans from outside → **Go to 5(1)**
4. Subsidiaries or affiliates never make loans from outside. → **Go to 5(1)**

(Note) "Outside" here refers to financial institutions and others outside the group. Loans from affiliates of the group's subsidiaries are not considered loans from outside.

(2) Outstanding loan guarantees (incl. other acts similar to guarantees) provided to subsidiaries and affiliates by the pure holding company (unconsolidated)

(As of the fiscal year end)

Classification		to trillion	1 billion	100 million	10 billion	1 billion	100 million	10 million	1 million
Outstanding loan guarantees (incl. other acts similar to guarantees) provided to subsidiaries and affiliates	0405								
Long-term loans	0406								
Short-term loans	0407								

(Note 1) "Long-term loans" here refer to outstanding loans guaranteed for consolidated group companies which are to come due in a greater than one-year period.

(Note 2) "Short-term loans" here refer to outstanding loans guaranteed for consolidated group companies which are to come due in a shorter than one-year period.

(1) Assets of the pure holding company (unconsolidated)

(As of the fiscal year end)

Accounting item		10 billion	1 trillion	1 billion	10 billion	1 billion	10 million	1 million
Assets	Current assets	0501						
	Short-term loans (receivable)	0502						
	To group companies	0503						
	Fixed assets	0504						
	Tangible fixed assets	0505						
	Intangible fixed assets	0506						
	Investments and other assets	0507						
	Shares of subsidiaries and affiliates	0508						
	Long-term loans (receivable)	0509						
	To group companies	0510						
Total assets	0511							

(Note1) "Group companies" include subsidiaries, affiliates, and a parent company of the pure holding company.

(Note) Highlighted items (0501, 0504-0508, 0511) may be left blank if the company submits an "annual survey form for Financial Statements Statistics of Corporations by Industry" by Ministry of Finance.

(2) Assets on the consolidated financial statement

(As of the fiscal year end)

Accounting item		10 billion	1 trillion	1 billion	10 billion	1 billion	10 million	1 million
Total assets	0512							

(3) Liabilities and net assets of the pure holding company (unconsolidated)

(As of the fiscal year end)

Accounting item		10 billion	1 trillion	1 billion	10 billion	1 billion	10 million	1 million
Liabilities	Current liabilities	0513						
	Short-term loans payable	0514						
	From group companies	0515						
	Fixed liabilities	0516						
	Long-term loans payable	0517						
From group companies	0518							
Net assets	Stockholder's equity							
	Capital	0519						
	Capital surplus	0520						
	Earned surplus	0521						
	Own stock	0522						
Other than above	0523							
Total liabilities and net assets	0524							

(Note) For group companies, please refer to the (Note) of (1).

(Note) Highlighted items (0513-0514, 0516-0517, 0519-0524) may be left blank if the company submits an "annual survey form for Financial Statements Statistics of Corporations by Industry" by Ministry of Finance.

(Note) "Other (0523)" includes land revaluation balance, market value balance on financial products, etc.

6 Details of owned industrial property rights and their management system

(1) Details of owned industrial property rights

(As of the fiscal year end)

Types		No. of items owned by the whole group	No. of items owned by the pure holding company (unconsolidated)
Patent	0601		
Utility model right	0602		
Design right	0603		
Trademark right	0604		

(Note) "Whole group" refers to the total of the consolidated companies, if consolidated accounting is adopted. If consolidated accounting is not adopted, enter the total of the pure holding company, subsidiaries, and affiliates.

(2) Number of persons dealing with intellectual property

(As of the fiscal year end)

Classification	No. of persons
No. of persons dealing with intellectual property in the whole group	0605
No. of persons dealing with intellectual property in the pure holding company (unconsolidated)	0606

(Note) In cases where people dealing with intellectual property have other responsibilities as well, count and enter the number of only those who spend longer hours on intellectual-property related work than any other task.

(1) Number of officers in the pure holding company (unconsolidated)

(As of the fiscal year end)

		Pure holding company (unconsolidated)				
		No. of directors	No. of operating officers or executive officers	No. of auditors	No. of other officers	Total no. of officers
0701						

(Note 1) Those who work as both "director" and "operating officer or executive officer", if any, are to be counted in both categories.

(Note 2) "Other officers" include accounting advisors, etc.

(Note 3) For "Total no. of officers", enter the total from "No. of directors" to "No. of other officers".

(2) Number of officers in the whole group excluding the pure holding company (unconsolidated) (total)

(As of the fiscal year end)

		Whole group excluding the pure holding company (unconsolidated) (total)				
		No. of directors	No. of operating officers or executive officers	No. of auditors	No. of other officers	Total no. of officers
0702						

(Note) "Whole group" refers to the total of the consolidated companies, if consolidated accounting is adopted. If consolidated accounting is not adopted, enter the total of the pure holding company, subsidiaries, and affiliates. Please enter "total number of persons". If a same person works as officer at two or more group companies, count as many not just one.

(3) Of those entered in (2), number of those who concurrently work as officer or full-time worker at the pure holding company; and number of those who have been transferred from the pure holding company.

(As of the fiscal year end)

Classification		Whole group excluding the pure holding company (unconsolidated) (total)											
		No. of directors		No. of operating officers or executive		No. of auditors		No. of other officers		Total no. of officers			
		Full time	Part time	Full time	Part time	Full time	Part time	Full time	Part time	Full time	Part time		
i) Of those entered in (2), no. of those who concurrently work as officer or full-time worker at the pure holding company	0703												
ii) Of those entered in (2), no. of those who have been transferred from the pure holding company (excl. those with concurrent posts)	0704												

(Note) "Full time" or "part time" is to be based on the person's working pattern in the whole group (excl. the pure holding company).

(4) Circle the applicable number in regard to whether the respondent company is a company with committees.

0705 Is the pure holding company (unconsolidated) a company with committees?

1. It is a company with committees.
2. It is not a company with committees.

8

Sales/ Operating revenue

(1) Enter sales or operating revenue and non-operating income of the pure holding company (unconsolidated)

(Fiscal year)

Classification		10 trillion	1 billion	100 billion	10 billion	1 billion	100 million	10 million	1 million
Sales or operating revenue	0801								
Non-operating income	0802								

(Note) Highlighted items (0801-0802) may be left blank—if the company submits an “annual survey form for Financial Statements Statistics of Corporations by Industry” by Ministry of Finance.

(2) Enter the breakdown of sales or operating revenue and non-operating income of the pure holding company (unconsolidated) according to whether they have been generated in transactions with group companies or non-group companies.

(Fiscal year)

Classification			Revenue generated from transactions with group companies						Revenue generated from transactions with non-group companies									
			10 trillion	1 trillion	100 billion	10 billion	1 billion	100 million	10 million	1 million	10 trillion	1 trillion	100 billion	10 billion	1 billion	100 million	10 million	1 million
Sales or operating revenue	Dividends earned	0803																
	Interest earned	0804																
	Revenue from group management and other similar revenue	0805																
	Rent and rental fees of assets	0806																
	Revenue from business activities	0807																
	Other than above	0808																
Non-operating income	Dividends earned	0809																
	Interest earned	0810																
	Revenue from group management and other similar revenue	0811																
	Rent and rental fees of assets	0812																
	Revenue from business activities	0813																
	Other than above	0814																

[Remarks]

- “Group companies” include subsidiaries, affiliates, and a parent company of the pure holding company.
- “Revenue from business activities” refers to income generated through conducting business activities (excl. asset leasing) by the pure holding company itself apart from income gained from the group companies (incl. revenue from group management and other similar revenue).

(3) Dividends from the pure holding company (unconsolidated) to shareholders and their payout ratio

(Fiscal year)

Classification		10 trillion	1 billion	100 billion	10 billion	1 billion	100 million	10 million	1 million
Dividends (incl. interim dividends)	0815								

Payout ratio (compared to net income after tax) (incl. interim dividends) (Enter up to the first decimal place.)	0816								%
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9 Classification of different businesses of the whole group

Enter component ratios of sales or operating revenue of the whole group by segment.

Choose the most applicable option for each business segment from the business classification.

Reference no.	Segment names (Segment names used in the whole group)	Component ratios of sales or operating revenue (%)	The most applicable business classification (Choose one from the following options.)
0901 May be listed in any order.	1		
	2		
	3		
	4		
	5		
	6		
	7		
	8		
	9		
	10		
		Other than above	
Total		100.0	

(Note 1) "Whole group" refers to the total of the consolidated companies, if consolidated accounting is adopted. If consolidated accounting is not adopted, "whole group" indicates the total of the pure holding company, subsidiaries, and affiliates.

(Note 2) Composition ratios of sales or operating revenue must be classified and entered until they exceed 95%. For the remainder after reaching 95%, if it is broken down into many businesses, classification of each is not necessary and they can be lumped together under "Other than above".

(Note 3) If options for "business classification" provided below are not clear enough, please refer to the "Business classification table of subsidiaries and affiliates" in the page 17 and find the most applicable Major-group item numbered in two digits (e.g. 01 Agriculture) for each segment and enter the Division code (e.g. A: Agriculture and Forestry) which the Major-group item belongs to.

Options for "business classification"

- A Agriculture and forestry
- B Fisheries
- C Mining and quarrying of stone and gravel
- D Construction
- E Manufacturing
- F Electricity, gas, heat supply and water
- G Information and communications
- H Transport and postal activities
- I Wholesale and retail trade
- J Finance and insurance
- K Real estate and goods rental and leasing
- L Scientific research, professional and technical services
- M Accommodations, eating and drinking services
- N Living-related and personal services and amusement services
- O Education, learning support
- P Medical, health care and welfare
- Q Compound services
- R Services, N.E.C.