

Instructions and explanations
(These correspond to block numbers on the form)

(1) The applicant must complete following blocks.

1. Tick the square which corresponds to the type of document issued (export permit, re-export certificate, import permit or other).
3. **Complete** name, address and country of the importer. The name of the country must be written in full.
4. **Complete** name, address and country of the exporter/re-exporter. The name of the country must be written in full.
- 5a. The following purpose codes should be used:

T for Commercial, **Z** for Zoo, **G** for Botanical garden, **Q** for Circus or travelling exhibition,
S for Scientific, **H** for Hunting trophy, **P** for Personal, **M** for Medical(including biomedical research),
E for Education, **N** for Reintroduction or introduction into the wild,
B for Breeding in captivity or artificial propagation, **L** for Law enforcement / judicial / forensic.

7. Indicate the scientific name (genus and species, where appropriate subspecies) of the animal or plant as it appears in the Convention Appendices or the reference lists approved by the Conference of the Parties, and the common name of the animal or plant as known in the country issuing the permit.
8. Describe, as precisely as possible, the specimens entering trade (live animals, skins, flanks, wallets, shoes, etc.). If a specimen is marked (tags, identifying marks, rings, etc.), whether or not this is required by a Resolution of the Conference of the Parties (specimens originating in a ranching operation, specimens subject to quotas approved by the Conference of the Parties, specimens of Appendix-I species bred in captivity for commercial purposes, etc.), indicate the number and type of mark. The sex and date of birth of the live animals should be recorded, if possible.
9. i. Enter the number of the Appendix of the Convention (I, II or III) in which the species is listed.
ii. Use the following codes to indicate the source:

W Specimens taken from the wild
X Specimens taken in "the marine environment not under the jurisdiction of any State"
R Ratched specimens:specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood.
D Appendix-I animals bred in captivity for commercial purposes in operations included in the Secretariat's Register, in accordance with Resolution Conf.12.10, and Appendix-I plants artificially propagated for commercial purposes, as well as parts and derivatives thereof, exported under the provisions of Article VII, paragraph 4, of the Convention
A Plants that are artificially propagated in accordance with Resolution Conf. 11.11, as well as parts and derivatives thereof, exported under the provisions of Article VII, paragraph 5 (specimens of species included in Appendix I that have been propagated artificially for non-commercial purposes and specimens of species included in Appendices II and III)
C Animals bred in captivity in accordance with Resolution Conf. 10.16, as well as parts and derivatives thereof, exported under the provisions of Article VII, paragraph 5
F Animals born in captivity (F1 or subsequent generations) that do not fulfil the definition of 'bred in captivity' in Resolution Conf.10.16, as well as parts and derivatives thereof
Y Specimens of plants that fulfil the definition for 'assisted production' in Resolution Conf. 11.11, as well as parts and derivatives thereof
U Source unknown (**must be justified**)
I Confiscated or seized specimens
O Pre-Convention

10. Indicate the total number and quantity of specimens, if this is not possible, either of them and specify the unit of measurement used. Do not use general terms. When specifically noting the amount of regulated specimens contained in the manufactured product(s), angle brackets (< >) shall be used. A period (.) shall be used as the decimal separator when indicating fractional values.
- 11- 11b.
The country of origin is the country in which the specimens were taken from the wild, bred in captivity or artificially propagated, except in the case of plant specimens that cease to qualify for an exemption from the provisions of CITES. In such instances, the country of origin is deemed to be the country in which the specimens ceased to qualify for the exemption. Indicate the number of the permit or certificate of the exporting country and the date of issuance. If all or part of the information is not known, this should be justified in block 5. This block must only be completed in case of re-exports.
- 12- 12b.
The country of last re-export is the country from which the specimens were re-exported before entering the country in which the present document is issued. Enter the number of the re-export certificate of the country of last re-export and its date of issuance. If all or part of the information is not known, this should be justified in block 5. This block must only be completed in case of re-export of specimens previously re-exported.
14. Enter the number of the bill of lading or air way-bill if the method of transport used requires the use of such a document.

(2) The official of Management Authority must complete following blocks.

- 1a. The original permit/certificate number is a unique number allocated to each document by the Management Authority.
2. For export permits and re-export certificates, the date of expiry of the document may not be more than six months after the date of issuance (one year for import permits).
5. Special conditions may refer to national legislation or special conditions placed on the shipment by the issuing Management Authority. This block can also be used to justify the omission of certain information.
- 5b. Indicate the number of the security stamp affixed in block 13.
6. The name, address and country of the issuing Management Authority.
13. To be completed by the official who issues the permit. The name of the official must be written in full. The security stamp must be affixed in this block and must be cancelled by the signature of the issuing official and a stamp or seal. The seal, signature and security-stamp number should be clearly legible.

(3) The official of Customs must complete following blocks.

15. To be completed by the official who inspects the shipment at the time of export or re-export. Enter the quantities, name of port, date of permission, signature and a stamp.

指示と説明
(様式の段落番号に対応します)

(1) 申請者は、以下の項目を記入する

1. この書類に対応する四角をチェックする(輸出、再輸出、輸入又はその他)。
3. 輸入者の正確な名称、住所及び国名を記入(略称を用いない)。
4. 輸出者/再輸出者の正確な名称、住所及び国名を記入(略称を用いない)。
- 5a. この取引の目的として、次のコード記入。

T 商業、 **Z** 動物園、 **G** 植物園、 **Q** サーカス又は移動展示、
S 科学研究、 **H** ハンティングトロフィー、 **P** 個人用、 **M** 生物・医学研究、
E 教育、 **N** 野生への返還又は野生化、
B 飼育繁殖又は人工繁殖、 **L** 法執行

7. (a) 当該動物の一般的な名称を記入。
(b) 当該動物の学術名称(属及び種並びに適宜亜種)を記入。
8. 取引される貨物の詳細(生きている動物、皮、財布、靴など)を正確に記入。マーク(タグ、識別マーク、リング等)が付されている場合、マーキングの数とタイプを示す。生きている動物は、可能な限り性別及び生年月日を記入。
9. i. 当該動物の条約附属書番号(I、II又はIII)を記入。
ii. 当該動物の出所として、次のコードを記入。

W 野生から取得した動植物
X 「いずれの国の管理下にもない海洋環境」において取得された動植物
R ランチング事業から生まれた動物(自然界から卵又は幼体の段階で採取され、管理された環境で飼育された動物。これらは、自然環境下では成体まで生存する可能性が極めて低いと考えられるもの)
D 商業目的で飼育により繁殖させた条約附属書 I に掲げる動物(決議12.10に従い登録された事業により繁殖させたものに限る)又は商業目的で人工的に繁殖させた附属書 I に掲げる植物であって条約第7条第4項の規定に基づき輸出されるもの
A 人工的に繁殖させた植物(決議11.11において定義される「人工繁殖」の要件を満たすものであって、条約第7条第5項の規定に基づき輸出されるもの(附属書 I に掲げる植物にあっては、非商業目的で繁殖させたものに限る))
C 飼育により繁殖させた動物(決議10.16において定義される「制御された環境で生まれたか又はその他の方法で産出された標本」の要件を満たすものであって条約第7条第5項の規定に基づき輸出されるものに限る)
F 飼育により繁殖させた動物(Cの区分に該当しないものに限る)
Y 補助生産の植物(決議11.11において定義される「補助生産から得られた植物」の要件を満たすもの)
U 出所不明 (**正当な理由を明記**)
I 没収又は押収された動植物
O 条約適用前に取得された動植物

10. 取引される貨物の合計の数及び量並びにその計測単位を記入(数及び量の双方の記入が困難な場合には、いずれかの一方を記入)。計測単位には曖昧な単位を用いないこと。規制対象種の含有量を特記する場合には山括弧<>を用いて記載すること。小数点を示す際は、「ピリオド」を用いて記載すること。
- 11- 11b.
動植物の原産国又は地域名、その国が発給した輸出許可書番号及び発給日を記入。この欄は、再輸出の場合のみ記入。
- 12- 12b.
動植物の最終再輸出国又は地域名、その国が発給した再輸出証明書番号及び発給日を記入。この欄は、以前に再輸出された標本の再輸出の場合のみ記入。
14. 輸送方法が、船荷証券又は航空運送状などの使用を必要とする場合、それらの書類の番号を記入(番号が決定次第追記すること)。

(2) 管理当局の担当者は、以下の項目を記入する

- 1a. 許可書、証明書番号
2. 有効期限(輸出/再輸出は6ヶ月、輸入は1年)
5. 特別条件
- 5b. 13欄に貼付されるセキュリティスタンプの番号
6. この書類を発給する管理当局の名称及び住所
13. この書類の発給日、この書類を発給する権限を有する者の役職及び氏名を記載し、公印を押印の上、セキュリティスタンプを貼付

(3) 税関の担当者は以下の項目を記入する

15. 税関係官による、輸出/再輸出数量(実数)、輸出/再輸出港、許可日、署名の記載及び押印

(注) 用紙の大きさは、A列4番とする。