# FY2020

# Survey of Trends in Business Activities of Foreign Affiliates Notes for Completing the Survey Form

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# Address for return of completed forms and enquires

Office for Survey of Trends in Business Activities of Foreign Affiliates
Investment Facilitation Division
Trade and Economic Cooperation Bureau
Ministry of Economy, Trade and Industry (METI)
Tel: 0120-321-775 (Toll-free)

\*From this year, it is possible to respond using the online survey system. If you use it please refer to the enclosed "Online Survey guide".

URL: (Japanese) <a href="http://www.meti.go.jp/statistics/tyo/gaisikei/index.html">http://www.meti.go.jp/statistics/tyo/gaisikei/index.html</a>
(English) <a href="http://www.meti.go.jp/english/statistics/tyo/gaisikei/index.html">http://www.meti.go.jp/english/statistics/tyo/gaisikei/index.html</a>

# I. Survey Outline

#### 1. Purpose of the survey

The purpose of this survey is to contribute to the promotion of future policy on industry and trade by ascertaining the business trends of foreign capital companies in Japan. This survey has been conducted every year since fiscal 1967.

# 2. Legal basis for the survey and protection of confidentiality

This survey is conducted by the Ministry of Economy, Trade and Industry pursuant to the Statistics Act. The confidentiality of information reported in response to the survey is protected under the Statistics Act. Therefore, the information cannot be used in connection with tax affairs or for any purpose other than statistics (Article 41 of the Statistics Act).

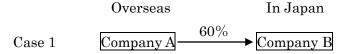
#### 3. Scope of the survey

This survey covers companies that met the following conditions as of the end of the fiscal year of 2019 (the end of March, 2020), or had satisfied them during the fiscal year of 2019:

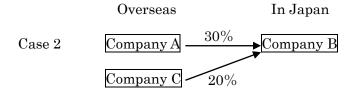
- 1) A company in which more than one third of shares or holdings is owned by a foreign investor, and in which the principal foreign investor's direct investment ratio is more than 10% (Case 1 below).
- 2) A company funded by a domestic company (in Japan) in which more than one third of shares or holdings is owned by foreign investors, in which the total ratio of the foreign investors' direct and indirect investment is more than one third of the shares or holdings of the company concerned, and in which the principal foreign investor's direct investment ratio is more than 10% (case 2 and 3 below).
  - \* Since 2011, it will cover not only indirect investments through holding companies, but it will also cover indirect investment from all Japanese domestic companies including business corporations.
  - \* In this survey, the term "foreign investor" refers to non-resident individuals, companies and other groups established under the jurisdiction of foreign laws; or companies and other groups with its headquarter located overseas.
  - \* Direct investment ratio means the ratio of a foreign investor's shares or holdings to the total capital or capitalization.
    - Indirect investment ratio means the ratio of investment in a holding company by foreign investors multiplied by the ratio of the holding company's investment in the company in question.

# <Examples>

Scope of the survey 1)

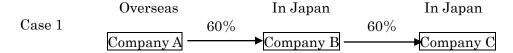


In this case, Company B is subject to the survey because the ratio of investment in Company B made by Company A is more than one third, moreover investment ratio of the principal foreign investor by Company A in Company B is more than 10%.

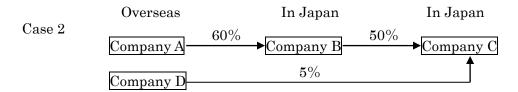


In this case, Company B is subject to the survey because the total ratio of investment in Company B made by Company A and C is more than one third, moreover investment ratio of the principal foreign investor by Company A in Company B is more than 10%.

## Scope of the survey 2)



In this case, in addition to Company B, Company C is also subject to the survey because the total investment ratio is more than one third as follows: Ratio of investment in Company B by Company A (60%) x Ratio of investment in Company C by Company B (60%) = 36% (Indirect investment ratio) Moreover investment ratio of the principal foreign investor by Company A in Company B is more than 10%.



In this case, both Company C and Company B are subject to the survey because the total ratio of direct investment in Company C made by a foreign investor, Company D (5%), and indirect investment which is calculated: Ratio of investment by Company A in Company B (60%) x Ratio of investment by Company B in Company C (50%) = 30% (Indirect investment ratio), (5% + 30% = 35%) is more than one third.

Moreover investment ratio of the principal foreign investor by Company A in Company B is more than 10%.

# 4. Survey method

This is a written survey whereby survey forms are distributed to the subject companies from the Ministry of Economy, Trade and Industry and are then returned after being completed by the respective companies. From this year, it is possible to use the online survey system. For online responses, please download the survey form from the website below, complete it, and upload the response (Please refer to the "Online survey guide" for operations). https://www.e-survey.go.jp/

Survey staff from the Office for Survey of Trends in Business Activities of Foreign Affiliates may conduct telephone interviews, etc. for clarification.

#### 5. Deadline for submission of completed forms

Please submit the completed forms by September 30, 2020, by posting them to METI using the enclosed envelope (The deadline for submitting responses from online surveys will be the same). This survey can also be attached (Excel files) and sent by electronic mail.

For information, please call toll - free 0120-321-775

(Office for Survey of Trends in Business Activities of Foreign Affiliates)

#### 6. Publication of survey results

The results of this survey will be compiled by business sector and by area, and will be analyzed and published by the Investment Facilitation Division of the Trade and Economic Cooperation Bureau.

#### II. General Information

# 1. Time and fiscal year of the survey

This survey is dated March 31, 2020. Please complete the survey with information pertaining to the fiscal year 2019.

## (1) Full-year accounting terms

March 31, 2020, or the nearest accounting date prior to that, should be given as the end of the fiscal year 2019.

## (2) Half-year accounting terms

March 31, 2020, or the nearest accounting date prior to that, should be given as the end of the fiscal year 2019, and annual results should be given as a total of the term in question and the previous term (total of first and second halves).

# (3) Accounting term alterations

If annual results cannot be given due to changes in the accounting period, etc., please give details in accordance with your company's terms as appropriate. A note to this effect should be added in the blank space.

#### 2. Industrial classification

Please refer to and enter the corresponding business sector number and type of industry given in "Appendix I. Industrial Classification Code Table," (p. 17-21) created for this survey in accordance with the Japanese Standard Industrial Classification. If the industry name is not clear, describe your business content in detail.

When conducting business in two or more business sectors, write the relevant code for your main business in which you have the largest sales turnover.

# 3. Regional and National classification code

In the nationality column, enter the corresponding region/country code and name of the region/country according to the "Appendix II. Regional and National Classification Code Table." (p. 22-23)

#### 4. Figures

- (1) Figures should be rounded to the nearest full unit.
- (2) Figures in each column should be justified to the right, with only one digit in each box.
- (3) Negative figures should be preceded by the symbol  $\triangle$ .

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Example	1	1		/\	ı u	. 4	9
Lizanipic	1	1					
_	1	1			1		

- (4) Approximated figures will be acceptable if exact figures are difficult to calculate.'
- (5) If there is no calculation or figures cannot be captured, please enter "-."

#### 5. Monetary amounts

- (1) Monetary amounts should all be indicated in yen denomination and in units of one million yen.
- (2) Figures are to be rounded to the nearest unit. If the amount is less than one million, please enter "0"
- (3) If there is no actual performance, please enter "-."

#### 6. Terminology

The terminology used should, in principle, be in accordance with the "Enforcement Regulations of the Commercial Law" on the basis of the "Companies Act," the "Foreign Exchange and Foreign Trade Act," and the ministerial ordinances for the administration of the respective Act.

# 7. Companies that responded to "The FY 2020 Basic Survey of Japanese Business Structure and Activities of the Ministry of Economy, Trade and Industry"

Regarding this year's survey, even if your company falls under the survey target of the "2020 Ministry of Economy, Trade and Industry Basic Survey on Business Activities", and you already answered, please fill in all items [3] Employment (Total full-time persons employed) through [13] Impacts of COVID-19".

The "Basic Survey of Japanese Business Structure and Activities" is a fundamental statistical survey conducted pursuant to the Statistics Act, separately from the "Survey of Trends in Business Activities of Foreign Affiliates," by the Ministry of Economy, Trade and Industry, targeting those companies of industries shown in the table below, with 50 employees or more and with capital of  $\S 30$  million or more.

List of industries (including services, etc.) subject to the Basic Survey of Japanese Business Structure and Activities of the Ministry of Economy, Trade and Industry (Reference)

Mining, quarrying, gravel	
extraction	
Manufacturing	
Electricity, gas, heat supply,	Electricity
water services	Gas
	Software
	Information processing, information provision services
	Internet-based services
Information and	Movie and video production
communications	Television program production
	Animation production
	Newspapers
	Publishing
Wholesale and retail trade	Wholesale trade
Wholesale and retail trade	Retail trade
Finance and insurance	Credit card industry, loan industry

	Leasing of industrial machinery and appliances
	Leasing of office machinery and appliances
Commodity Lease and Rental	Automobile leasing (excluding rentals)
-	Leasing of sporting and leisure goods
	Other leasing services
	Academic institutions, development research institutions
	Design industry
	Advertising industry
	Engineering industry
Academic research, specialty /	Machine design industry
technology services	Commodity inspection services and non-destructive testing
	services
	Surveyor certification services
	Photography industry
T . 1	General restaurants (excluding pubs, beer halls, bars,
Lodging establishments and	cabarets, night clubs)
restaurants	Takeout and meal delivery services
	Laundry services
	Other laundry, hairdressing, beauty, bathhouse services
	Other lifestyle-related services
Lifestyle-related services and	Services for ceremonial functions (including mutual aid
entertainment industry	societies)
entertamment muustry	Photographic developing & printing
	Movie theaters
	Provision of sports facilities
	Parks, amusement parks, and theme parks
Education and learning-support	Foreign language schools
services	Culture centers (general)
	Waste processing industry
	Machine repair services
	Repair services for electric machinery and appliances
Service industry (not elsewhere	Private job placement services
classified)	Labor dispatch services
	Display services
	Telemarketing business
	Other business services

# 8. English version of the Survey Form of Trends in Business Activities of Foreign Affiliates

The English version of the Survey of Trends in Business Activities of Foreign Affiliates is available on the METI website at the following URLs. If you wish to make the submission in English, please print out and use the English Survey Form.

URLs: http://www.meti.go.jp/english/statistics/tyo/gaisikei/index.html

Thank you very much for your cooperation.

# III. Specific Information

Section "I Company Outline" of the survey form has been pre-printed based on reports that you presented until last year. If there are any necessary corrections to the pre-printed details, please cross out the relevant column with a ===== and enter the correct details above the pre-printed details.

If you are participating for the first time this year, there is no pre-printed information. Please complete all the sections of the survey form.

#### Contact Person

Survey staff from the Office for Survey of Trends in Business Activities of Foreign Affiliates may conduct telephone interviews, etc. for clarification. Please give the name, telephone number, department, and address (only when not identical to "102. Address") of the person completing the form.

# 1. Company Outline

#### 101. Company name

Please write the registered trade name or other formal name used in the course of business. The Japanese pronunciation should be written in katakana characters. When doing so, please justify to the left.

#### 102. Address

Please write the address of your company's head office or head branch. When the address of the head office differs from the address indicated in the registration, write the address and the postal code of the office that is actually functioning as the head office.

# 103. Business sector code

The Industrial classification code table for this survey form is created in accordance with the new Japanese Standard Industrial Classification after the revision in October 2013. Please refer to and enter the corresponding business sector number and type of industry given in "Appendix I. Industrial Classification Code Table." (p. 17-21)

If your company conducts business in two or more business sectors, please write the relevant code for your main business (the industrial classification for which you have the largest sales turnover.)

## 104. Foreign capital ratio

Write the ratio of shares owned, or capitalization by foreign investors in the total number of shares issued by your company or total capitalization to the 1<sup>st</sup> decimal point (round off the 2<sup>nd</sup> decimal point) justified to the right.

If your company is funded by a domestic company:

- (1) When indirectly invested in by foreign investors through a single domestic company, write the indirect investment ratio, which is the ratio of investment by foreign investors in the domestic company multiplied by the ratio of investment by the domestic company in your company. (Hereinafter the same.)
- (2) If a foreign investor has made both direct and indirect investments, enter the combined total of the investment ratio and the indirect investment ratio.

#### 105. Name of the principal foreign investor

Write the name of the principal foreign investor in Japanese katakana characters or Roman letters. If the principal foreign investor is a company, write the company name.

If your company is funded by a domestic company,

- (1) When indirectly invested in by a single domestic company, write the name of the principal foreign investor of the domestic company.
- (2) When investments in the company are both in the form of direct investment from a foreign investor and indirect investment by a foreign investor through a domestic company:
  - i) If the indirect investment ratio from the domestic company is higher, enter the name of the principal foreign investor in the domestic company.
  - ii) If the direct investment ratio from the foreign investor is higher, enter the name of the foreign investor that made the direct investment.

## 106. Nationality of the principal foreign investor

Please refer to "Appendix II Regional and National Classification Code Table" (p. 22-23) for the nationality of the principal foreign investor named in "105. Name of the principal foreign investor" and enter the corresponding region/country code and name of region/country indicated there.

#### 107. Investment ratio of the principal foreign investor

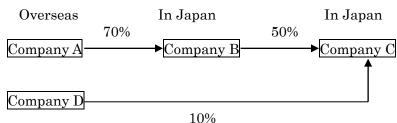
Write the ratio of shares owned or capitalization by the principal foreign investor (the principal foreign investor named in "105. Name of the principal foreign investor") out of the total number of shares issued by your company or total capitalization to the 1<sup>st</sup> decimal point (round off the 2<sup>nd</sup> decimal point) justified to the right.

- (1) If the principal foreign investor has only made indirect investments, enter that indirect investment ratio.
- (2) If the principal foreign investor has made both direct investments and indirect investments through a domestic company, enter the combined total of the direct investment ratio and the indirect investment ratio through a domestic company.

# If you are under "Scope of the survey 2)"

2) A company funded by a domestic company (in Japan) in which more than one third of shares or holdings is owned by foreign investors, in which the total ratio of the foreign investors' direct and indirect investment is more than one third of the shares or holdings of the company concerned. In this case, write the domestic company name which is owned by the principal foreign investor entered in item 105 "name of the principal foreign investor". Also, please enter investment ratio of shares owned or capitalization by the domestic company named in "107. Name of the domestic company")

<Example>



\*In the case above,

- ·"104 Foreign capital ratio", please write 45.0%.
  - $70\% \times 50\% = 35.0\%$
  - 35%+10%=45.0%
- ·"105 Name of the principal foreign investor", please write the company name of A.
- ·"107 Investment ratio principal foreign investor", please write 70% (ratio of investment in Company B by Company A).
- "The domestic company name which is owned by the principal foreign investor", please write the company name of B.
- "Investment ratio of the domestic company", please write 50% (ratio of investment in Company C by Company B).

## 108. Business sector code of the principal foreign investor

If the business sector of the principal foreign investor named in "105 Name of the principal foreign investor" is the same as your business sector, please circle 1. If not, please circle 2 and write the corresponding business sector code and the type of industry given in "Appendix I. Industrial Classification Code Table." (p. 17-21) When the principal foreign investor conducts business in two or more business sectors, please write the relevant code for its main business (the industrial classification in which it has the largest sales turnover).

If the principal foreign investor is an individual, leave the business sector no. blank and enter "individual" for type of industry.

#### 109. Capital or investments

Write the amount of paid-in capital held or investments made by your company, as of the end of March 2020.

#### 110. Accounting term

According to your company's accounting term, write the accounting month following the principles given below.

- (1) Full-year term: write an accounting month that falls between April 1, 2019 and March 31, 2020.
- (2) Half-year term: write and accounting month that falls between October 1, 2019 and March 31, 2020.

#### 111. Consumption tax

For the treatment of consumption tax concerning the survey items, circle 1 when "tax included" and 2 when "tax excluded."

#### 112. Corporate Number

Please access the following website of the National Tax Agency. Please enter your corporate number (13 digits). <a href="https://www.houjin-bangou.nta.go.jp/">https://www.houjin-bangou.nta.go.jp/</a>

If your company does not have a corporate number designated by National Tax Agency, please leave the answer column blank.

# 2. Operation status

# 2-1. Operation status

#### 211. Operation status

Circle the relevant number for the operation status of your company at the time of the survey (as of the end of March 2020).

#### 1. In operation

"In operation" means that your company is currently in operation. In this case, please answer all items from 2-2.

#### 2. Before the first accounting term after establishment

"Before the first accounting term after establishment" means that your first accounting term has not yet arrived after establishment or after foreign capitalization has occurred. In this case, please enter the following items: item 221 "Year of establishment and foreign capitalization," item 231 "Reason for which the ratio of shares or equity owned by foreign investors has surpassed one third of the total shares," and from item 811 "Investment environment in Japan" through item 1302 " If the impact of COVID-19 continues, what are the current difficulties in continuing your business in Japan? " and Remarks.

#### 3. Not yet established, not yet in operation

"Not yet established" means that the company has not yet been established, although a notification or ex post-facto report has been made in accordance with the "Foreign Exchange and Foreign Trade Act." "Not yet in operation" means that, although the company has been established, it is not yet in operation.

In this case, please enter the following items: item 221 "Year of establishment and foreign capitalization," item 231 "Reason for which the ratio of shares or equity owned by foreign investors has surpassed one third of the total shares," and from item 811 "Investment environment in Japan" through item 1302 " If the impact of COVID-19 continues, what are the current difficulties in continuing your business in Japan? " and Remarks.

## 4. Suspended

"Suspended" means that your company is temporarily out of business or in dormancy. In this case, please enter the following items: item 221 "Year of establishment and foreign capitalization," item 231 "Reason for which the ratio of shares or equity owned by foreign investors has surpassed one third of the total shares," and from item 811 "Investment environment in Japan" through item 1302 " If the impact of COVID-19 continues, what are the current difficulties in continuing your business in Japan? " and Remarks.

## Dissolution, withdrawal or reduction of foreign capital ratio

"Dissolution" means the situation in which a company stops its business activities and loses its status as a legal entity. "Dissolution" includes liquidation, which refers to the procedure for processing a company's legal matters after the company has been dissolved due to a reason other than a merger, as well as so-called company failure and bankruptcy. "Withdrawal" means a company was sold, absorbed or merged, and as a result, the total number of shares controlled has become 0%.

"Reduction of Foreign capital ratio" means that your company's ratio of capitalization (including the indirect investment ratio) by a foreign investor (if by multiple investors, the total of their ratios), has fallen to one third or less after being sold, absorbed or merged. In this case, circle either (i.) or (ii.), depending on when such a situation arose. Also, if you chose (i.), please answer the following items: 221 "Year of establishment or foreign capitalization," and from item 811 "Investment environment in Japan" through item 1302 " If the impact of COVID-19 continues, what are the current difficulties in continuing your business in Japan?" and Remarks.

To answer the survey form, please enter in the status as of March 2020(101"Company Outline" through item 1201 "Your company's future expansion in Japan"). If the foreign capital ratio declines after April 1, 2020, it will be subject to this survey. Also if you have been in operation until March 31, 2020, and have dissolved, withdrawn, or suspended after April 1, 2020, it will be "In operation".

# 2-2 Year of establishment or foreign capitalization

# 221. Year of establishment or foreign capitalization

Please write the two-digit month and four-digit year of the time when the ratio of shares or equity owned by foreign investors surpassed one third of the total shares in your company.

If the ratio of shares or equity owned by foreign investors was less than one third at the time of establishment, give the year when it surpassed one third of the total.

If the company that invested in your company changed from one foreign investor to another, or from a foreign investor to a foreign-owned domestic company; enter the initial "Year of establishment or foreign capitalization"; and not the "time when the investing company changed."

# 2-3 Reason for which the ratio of shares or equity owned by foreign investors has surpassed one third of the total shares

# 231. Reason for which the ratio of shares or equity owned by foreign investors has surpassed one third of the total shares

Circle the relevant number from 1 to 4 on the survey form indicating the reason the ratio of shares or equity owned by foreign investors has surpassed one third of the total shares.

If the company that invested in your company changed from one foreign investor to another or from a foreign investor to a foreign-owned domestic company; your choice should reflect the initial "Reason for which the ratio of shares or equity owned by foreign investors has surpassed one third of the total shares"; and not the "time when the investing company changed."

#### 1. Newly established by a single company

"Newly established by a single company" refers to cases in which your company was established alone.

# 2. Newly established as a joint venture

"Newly established as a joint venture" refers to a case in which the ratio of shares or equity owned by foreign investors had already surpassed one third of the total shares at the time of the establishment of the joint venture.

#### 3. Merger and acquisition (M&A)

"Merger and acquisition" refers to cases in which the ratio of shares or equity owned by foreign

investors was one third or less of the total shares at the time of establishment of your company but has surpassed one third of the total shares due to a later merger with a foreign firm, foreign investors' later purchase of shares or equity, or a capital increase.

#### 4. Other

"Other" refers to cases in which the ratio of shares or equity owned by foreign investors was one third or less of the total shares at the time of establishment of your company and has since then surpassed one third of the total shares because of reasons other than those referred to above.

# 3. Employment

Please write the number of persons employed as of the end of March 2020. If the figure cannot be given, write the number of employees as of the latest available time prior to that.

The number of persons employed includes temporary transferred employees who are paid mainly by your company (your company mainly bears the cost of the wages) and also those who are from other companies. Please do not include the number of dispatched workers from a worker dispatching business operator in the number of persons employed.

# 3.-1. Types and functions of establishments in Japan

## 311. Total full-time persons employed

Please write the total number of salaried directors and full-time persons employed in your company as of the end of March 2020.

# 312. Of which, number of permanent foreign employees

Enter the number of foreign employees included in the total entered in 311 "Total."

#### 313. Salaried directors

Enter the number of salaried full-time directors who are engaged in business management.

#### 314. Of which, number of foreign salaried directors

Enter the number of foreign directors included in the total entered in 313 "Salaried directors."

#### 315. Full-time employees

Enter the total number of full-time persons employed (contract employees of at least one month, irrespective of titles such as "regular employees," "regular staff," "part-timers," "casual employees," etc.)

#### 316. Of which, number of foreign full-time employees

Enter the number of foreign full-time workers included in the total entered in 315 "Full-time employees."

#### 317. Regular employees, regular staff

Enter the number of regular employees or regular staff among the above-described full-time employees.

#### 318. Of which, number of foreign regular employees and regular staff

Enter the number of foreign regular employees included in the total entered in 317 "Regular employees and regular staff."

#### 319. Part-timers

Enter the number of part-timers, casual employees or employees with similar titles who work fewer hours a day or fewer days a week than other regular employees described above as full-time employees.

# 3.-2. Total full-time persons employed (entered in 311 and 312) for each prefecture and functions of establishments in Japan

# 321. Total full-time persons employed (entered in 311 "Total") for each prefecture

Please write the total number of paid officials and full-time persons employed for each prefecture in your company as of the end of March 2020. In addition, enter the sum of those numbers in the "Total" column (below "Okinawa").

#### 322. Number of foreign full-time persons included in the figure above

Enter the number of foreign full-time persons included in the figure entered in each column of 321 "Total full-time persons employed (entered in 311 "Total") for each prefecture."

#### 323. Function

Please select the function(s) of your establishments in each prefecture from the function col umn and enter that number (1 to 5) in the corresponding prefecture column. (multiple answers possible)

For the "Total" column, please enter the total "count" of functions entered in each prefecture column. For example, if you enter "1 and 5" in the "13 Tokyo" column and "2" in the "27 Osaka" column, "3" should be entered in the "Total" column of 323's "Function." The contents of each function are as follows.

• Corporate planning:

Base that makes decisions regarding management strategy, corporate planning, finance, human resources training and allocates management resources to execute these functions.

• Sales and marketing:

Base that plans product and service marketing, sales, as well as marketing and sales strategy.

• Research and development:

Base in charge of planning development and technical improvements related to the production and manufacturing process of products and services. Research and development refers to all development research, including basic research and applied research. Does not include performance evaluation of simple products.

Manufacturing and processing:

Base that manufactures or processes products and services, and evaluates performance.

• Distribution:

Base that performs transport, delivery, handling, distribution process, etc.

# 4. Sales turnover and purchase turnover

4-1. Sales turnover \* Please complete the survey with information pertaining to fiscal 2019.

#### 411. Total sales turnover

Write the total of your company's sales of mineral products or manufactured products, income from processing fees, sales of stocked products, and other business revenues (including sales from free trade zones).

Sales in commercial agency and mediation businesses refer to income from commissions.

## 412. Exports (included in 411)

Of the sales turnover above, write the amount of goods directly exported by your company carrying out customs procedures in its own name.

## 413. Exports to principal foreign investors (included in 412)

Of the exports above, write the total amount of direct exports to the principal foreign investor (the principal foreign investor named in "105. Name of the principal foreign investor").

## 414. Exports to Asian region (included in 412)

From the exports above, write the total amount of direct exports to the Asian region (25 countries and regions classified as Asia in the "Appendix II. Regional and National Classification Code Table" (p.22)).

## 415. Royalty income (included in 411)

From the sales turnover above, write the total amount of the compensation for intellectual property rights, etc., including patent rights and copyrights, that you received from outside your company for the results of your R&D activities.

**4-2 Purchase turnover \*** Please complete the survey with information pertaining to fiscal 2019.

#### 421. Purchase turnover

Write the purchase turnover of raw materials, components, semi-finished products, etc., and the turnover of purchased goods from other companies.

# 422. Imports (included in 421)

Of the purchase turnover above, write the amount of direct imports by your company carrying out customs in its own name.

# 423. Imports from the principal foreign investor (included in 422)

Of the imports above, write the total amount of direct imports from the principal foreign investor (the principal foreign investor named in "105. Name of the principal foreign investor").

#### 424. Imports from Asian region (included in 422)

From the imports above, write the total amount of direct imports from the Asian region (25 countries and regions classified as Asia in the "Appendix II. Regional and National Classification Code Table" (p.22)).

# 5. Expenses

# 5-1. Payments to the principal foreign investor

\* Please complete the survey with information pertaining to fiscal 2019.

For "511. Dividends" through "513. Royalties" below, please enter the amount of payments made to the principal foreign investor (the principal foreign investor named in "105. Name of the principal foreign investor").

#### 511. Dividends

Of the dividends paid to or due to shareholders as an appropriation of profit relating to fiscal 2019, write the amount of dividends to principal foreign investors.

#### 512. Interest on loans

Write the amount of interest paid on loans from principal foreign investors based on accounting data.

## 513. Royalties

Write the amount paid for patent rights, authorship rights, and other intellectual property rights, etc. acquired from principal foreign investors based on accounting data.

# 5-2. R&D expenses

\* Please complete the survey with information pertaining to fiscal 2019.

# 521. R&D expenses

R&D expenses means the expenses involved in research (systematic inquiry and survey for the purpose of discovery of new knowledge) and development (materialization of research results and other knowledge as the plan or design of new products, services and production methods (hereinafter referred to as "products, etc."), or the plan or design for significant improvement of existing products, etc.)

- In-house R&D expenses: R&D expenses spent at your own company for its own R&D activities.
  - Salaries and bonuses for persons engaged in R&D for your own company (excluding retirement allowance)
  - Depreciation of research-related tangible fixed assets
  - Costs of raw materials, utilities, consumable supplies and others
  - Include the software production expenses if recorded as R&D expenses.
- Expenses for R&D commission: Expenses for R&D commissioned to other companies (domestic and overseas) (commission fees, levies, etc.).
- Research grants: Grants for research received from outside your company (including subsidies, donations, grants, etc.).

# 5-3. Plant and equipment investments

## 531. Plant and equipment investments (excluding land)

Please write the amount of acquisition of tangible fixed assets (including construction in process and excluding land) during fiscal 2019 before depreciation.

**6. Profit \*** Please complete the survey with information pertaining to fiscal 2019.

#### 601. Current profit

Write the total amount of operating and non-operating profit, and add  $\triangle$  before figures when there is a loss.

The following formula may optionally be used to calculate current profit/loss.

Current profit/loss = (sales turnover – cost of goods sold – sales and general administrative expenses) + (non-operating income – non-operating expenses)

#### 602. Current net income

Write the amount after deducting tax from the total amount of current profit/loss and extraordinary profit/loss. If you have made a loss, the figure should be preceded by the symbol  $\triangle$ .

# 7.Assets

Please write the amount of assets as of the end of March 2020. If the figure cannot be given, put the amount at the latest available time prior to that.

#### 701. Total assets

Write the total of current assets (cash & deposits, receivables, notes and bills receivables, securities, etc.), fixed assets (tangible fixed assets, intangible fixed assets, investments, etc.), and assets deferred (foundation cost, R&D expenses, costs for issuing new shares, etc.)

#### 702. Total net assets

Write the total of the net assets as indicated on the balance sheet.

# Regarding 8. Investment environment in Japan through 11. What are your thoughts on your company's hiring outlook for this year?

Circle the corresponding number as per the instructions if applicable from your company side.

Otherwise, select "other," and enter the details.

For questions that allow multiple answers, please note that some questions allow for a maximum of 3 answers while others allow for 5.

# 12 Your company's future expansion in Japan

"Business expansion" (or "to downsize") refers to the case of increasing (or reducing) personnel or investing in (or divesting) each corporate activity.

Please answer which activity your company is going to expand or downsize.

Please note that if you choose "Maintain current conditions" or "Discontinue operations," you cannot select other answers.

# 13 Impacts of COVID-19

In this question, please answer about the effects of COVID-19 at the time you enter the survey. Circle the corresponding number as per the instructions if applicable from your company side. Otherwise, select "other," and enter the details.

The questions allow for a maximum of 3 answers

#### Remarks

Please write in the following points in the remarks column.

- If your company is not covered by the survey, please specify the reason.
- Ex: Decrease in foreign capital ratio (after the decline in foreign capital ratio and when it fell), does not now meet the definition of a foreign-affiliated company, etc.
- Please write details if there is any review in risk management as a countermeasure against the COVID-19

Ex: Review of supply chain, remote work and information management by remote work, etc.

• If you have any requests regarding the government's investment in Japan policy, and enter the details.

Ex: Deregulation, English translation of laws and regulations, etc.

• In case you select "Difficulty in securing personnel" in survey item 821 "What do you think are factors inhibiting expanding business in Japan?", please describe in detail what kind of personnel person it is difficult to secure.

Ex: Engineers with high English ability, persons with specific skills etc.

# Table I Industrial Classification Code Table

Code	Type of Industry	Description
0101	Agriculture, forestry, and fisheries Agriculture	Crop farming, Livestock farming, Agricultural and gardening services, etc.
0102	Forestry	Timber tracts, Logging, Cutting of fuel wood and charcoal–making, Forestry services, etc.  Marine fisheries, Inland water fisheries, Marine aquaculture, Inland water aquaculture, etc.
0103	Fisheries and Aquaculture	Marine fisheries, finanti water fisheries, marine aquaculture, finanti water aquaculture, etc.
0201	Mining and quarrying of stone and gravel Mining and quarrying of stone and gravel	Metal mining (gold, silver, lead, zinc iron, tungsten, etc.), Coal and lignite mining (coal mine, etc.), Crude petroleum and natural gas production, Stone quarrying, sand and gravel, pits, etc.
0301	Construction Construction	Construction work (General civil engineering work and building work, Paving work, Wooden building work, etc.) Construction work by specialist contractor (Painting work, Flooring and interior finish work, etc.), Equipment installation work (Electric work, Telecommunication work and signal system work, etc.)
0401 0402 0403 0404	Manufacture of food and beverages, tobacco, and feed Manufacture of food  Manufacture of beverages Tobacco manufacture Prepared animal foods and organic fertilizers	Livestock products, Seafood products, Flour and grain mill products, Seasonings, etc. Soft drinks and carbonated water, Alcoholic beverages, etc. Cigarettes, cigars and pipe tobacco, etc. Balanced compound feeds, Organic fertilizers, etc.
0501 0502 0503 0504	Manufacture of textile mill products Silk reeling plants, spinning mills, chemical fiber and twisting and bulky yarns Woven fabric mills and knit fabric mills Dyed and finished textiles and rope and netting Apparel and miscellaneous fabricated textile products	Silk reeling, Chemical fibers, Carbon fiber, Cotton spinning, Wool spinning, Twisting yarns, etc.  Woven cotton, spun rayon, silk and wool, Knit fabrics mills, etc.  Dyed and finished fabrics, Twisted yarn, Netting and cordage, Lacework and miscellaneous crude textile goods, etc.  Textile outer garment, Knitted outer garment, Underwear, Kimono goods, Bedding, Carpets, etc.
0601 0602 0603	Manufacture of lumber and wood products and of pulp, paper and paper products  Manufacture of lumber and wood products  Manufacture of pulp and paper  Manufacture of paper products	General sawing, Veneer wood, Plywood, Particleboard, etc. Pulp, paper, Paper board, Japanese style paper, etc. Corrugated board, Wallpaper, Office paper and school-use paper, Paper containers, etc.

Code	Type of Industry	Description
	Manufacture of chemical and allied	
0701	products Chemical fertilizers	Nitrogen and phosphoric fertilizers, Compound and mixed fertilizers
0701	Chemical lertifizers	Nitrogen and phosphoric tertifizers, Compound and mixed tertifizers
0702	Industrial inorganic chemicals	Soda, Inorganic pigments, Compressed and liquefied gases, Phosphoric
0703	Industrial organic chemicals	acid, Sodium chloride etc. Petrochemical basic products including ethylene, Aliphatic
0.00	madeliai erganie enemicaie	intermediaries, Plastics including phenol resin, Synthetic rubber, etc.
0704	Oil and fat products, soaps,	Fatty acids, Glycerin, Soaps, Synthetic detergents, Surface-active
0704	synthetic detergents,	agents, Coating materials, Paints, Printing ink, Candles etc.
	surface-active agents and paints	
0705	Drugs and medicines	Drugs and medicines, Biological preparations, Natural drugs and Chinese medicines style medicines, etc.
0706	Cosmetics, toothpaste and toilet	Cosmetics, Hair care products, Toothpaste, Toilet preparations etc.
0707	preparations Missellaneaus shamisel and allied	Employing Agricultural shamicals Colotin and albegins
0707	Miscellaneous chemical and allied products	Explosives, Agricultural chemicals, Gelatin and adhesives, Photosensitive materials, etc.
	Manufacture of petroleum and coal	
0001	products	
0801 0802	Petroleum refining	Gasoline, Naphtha, Kerosene, Diesel oil, Fuel oil, etc. Lubrication oil, Grease, Coke, Artificial coal, Briquette, Paving, etc.
0802	Miscellaneous petroleum and coal products	Lubrication on, Grease, Coke, Artificial coal, Briquette, Faving, etc.
	Manufacture of ceramic, stone and	
	clay products	
0901	Glass and its products	Glass sheet, Glassware, Scientific and medical glass
0000	G 12 12 1 4	instruments, etc.
0902 0903	Cement and its products Miscellaneous ceramic, stone and	Cement, Freshly mixed concrete, Concrete products, etc. Ceramics and allied products, Clayware for construction use,
0303	clay products	Fire-resisting articles, Carbon and graphite products, Abrasives, etc.
	Manufacture of iron and steel iron	
	industries	
1001	Pig iron, basic steel, and steel materials	Pig iron, Basic steel, Steel materials, Steel pipes, etc.
1002	Castings and forgings, and other	Pig iron casting, Iron formed-and-fabricated materials including cast
	iron and steel products	steel, Iron and steel shearing and slitting, etc.
	manufacturing	
	Manufacture of non-ferrous metals	
1101	and products	Connen Lood Zing Dussians motels Ni-l-1 Aleminer
1101	Smelting and refining of non-ferrous metals	Copper, Lead, Zinc, Precious metals, Nickel, Aluminum, etc.
1102	Miscellaneous non-ferrous metal	Non-ferrous metals including rolled copper and their alloyed sheet
	products manufacturing	products, Electric wire and cables, Non-ferrous metal casting,
		Non-ferrous metal castings and forgings, etc.
	Manufacture of fabricated metal	
	products	
1201	Fabricated constructional and architectural metal products	Metal manufacture for construction including steel towers and bridges, Metal sashes and doors, Architectural metal products etc.
	aromitectural metal products	metar basiles and doors, membeetaral metar products etc.
1202	Miscellaneous fabricated metal	Tin can, Coated boards, Western style tableware, Cutting tools,
	products	Ironware, Heating apparatus, Metal formed-and-fabricated materials,
		Metal-wire articles, Bolts, Screw nuts, Rivets, etc.

Code	Type of Industry	Description
	Manufacture of general-purpose	
1301	<b>machinery</b> General industry machinery and equipment	Elevators and escalators, Logistics and conveying equipments, Industrial furnaces and ovens, Refrigerating machines and air conditioning, etc.
1302	Miscellaneous general-purpose machinery and machine parts	Boilers, Engines, Turbines, Pumps, Compressors, Boll and roller bearings, fire extinguishing equipment, etc.
1401	Manufacture of production machinery Agricultural machinery and equipment Machinery and equipment for construction and mining, Textile	Agricultural machinery and equipment, Machinery and equipment for construction and mining, Machinery for man-made fiber and spinning machinery, Weaving and knitting machinery, Dying and finishing machinery, Sewing machinery and equipment, etc.
1402	machinery Daily lives industry machinery, Basic material industry machinery	Food processing machinery, Woodworking machinery, Pulp and paper industry machinery, Printing, bookbinding and paper converting machinery, Packing machines, Foundry equipment, Chemical machinery, Machinery for fabrication of plastic, etc.
1403	Metalworking machinery and its Equipments	Lathes, Metal cutting machine tools including drill presses, Rolling mills, Metal working machinery including vending machines, etc.
1404	Semiconductor and flat-panel display manufacturing equipment	Semiconductor manufacturing equipment, Flat-panel display manufacturing equipment etc.
1405	Miscellaneous production machinery and machine parts	Molds and dyes, Vacuum equipment, Industrial robots etc.
	Manufacture of business oriented	
1501	machinery Office machines, service industry and amusement machines	Copying machines, Vending machines, Laundry machines for business use, Amusement machines etc.,
1502	Optical instruments and lenses	Cameras, Microscopes, Telescopes, Motion picture equipments, Optical lenses and prisms, etc.
1503	Miscellaneous business oriented Machinery	Measuring instruments, Analytical instruments, Testing machines, Surveying instruments and Physical and chemical instruments, Ordnance and accessories, etc.
	Manufacture of electrical machinery,	
1601	<b>equipment and supplies</b> Industrial electrical apparatuses	Power generators, Electric motors and other rotating electrical machinery, Power and distribution transformers, Switchboards,
1602	Household electric appliances	electrical control equipments, Electric welding equipments, etc.  Microwave ovens, Refrigerators, Electric rice cookers, Electric fans, Water heaters, Air conditioners, Washing machines, Vacuum cleaners,
1603	Electronic equipment	Irons, Electric heaters, etc. X-ray apparatuses, Medical instruments electronic equipments,
1604	Miscellaneous electrical machinery equipment and supplies	Electron microscopes and other electronic applied devices, etc.  Electric bulbs, Electric lighting fixtures, storage batteries, Primary batteries, Electric measuring instruments, Industrial process controlling instruments, Permanent magnets, etc.
1701	Manufacture of information and communication electronics equipment and of electronic parts and devices  Communication equipment and	Wired communication equipment including telephone sets and
1101	related products, Image and audio equipment	facsimile machines, Radios and television Broadcasting, wireless communication equipment including Cellular phones, Radios and television set receivers, Video equipments, Digital camera, Electro-acoustic devices including Karaoke sets, etc.

Code	Type of Industry	Description
1702	Electronic data processing	Electric calculators, PCs, External storages, I/O equipment,
1702	machines, digital and analog computers, equipment	Optical discs and magnetic discs etc.
1703	Electronic parts, devices and	Electronic tubes including cathode-ray tubes, Diodes,
1100	electronic circuits	Semiconductor elements including Transistors, Integrated
		circuits, Resistors, Capacitors, Transformers, Magnetic heads,
		Electronic circuit boards, Unit parts, etc.
	Manufacture of transportation	
1001	equipment	D
1801	Motor vehicles, motor vehicle bodies and trailers	Passenger cars, Buses, Trucks, Motorcycles
1802	Motor vehicle parts and accessories	Motor vehicle engines, Brakes, Clutch axles, Radiators, Deferential
1002	Notes venicle parts and decessories	gears, etc.
1803	Miscellaneous transportation	Railroad equipment and parts, Vessels, Machines for ships, Aircraft
	equipment	and accessories, Industrial vehicles and their parts accessories,
		Bicycles and their parts, etc.
	Miscellaneous manufacturing	
1001	industries  Manufacture of furniture and	Eympityun Palisiaya ytanaila fittint-
1901	fixtures	Furniture, Religious utensils, fittings, etc.
1902	Printing and allied industries	Printing businesses, Photoengraving businesses, Bookbinding,
		Printing finishing, Printing-related services
1903	Manufacture of plastic products	Plastic boards, rods, pipe work, couplings, deformed extrusion
		products, films, sheets, floor materials and synthetic leather,
		Industrial plastic products, Foam and reinforced plastic products,
1904	Manufacture of rubber products	Plastic molding materials, etc.  Tires and tubes for automobiles, rubber and plastic footwear, rubber
1904	Manufacture of rubber products	belts, rubber hose, Industrial rubber products, etc.
1905	Manufacture of leather tanning,	Leather tanning and finishing, Leather footwear, Leather gloves,
1000	leather products and fur skins	Baggage, Handbags and small leather cases, Fur skins, etc.
1906	Miscellaneous manufacturing	Precious metals and jewel products, Musical instruments, Toys,
	industries, etc.	Sporting goods, Stationery including Pens and Pencils, Costume
		accessories, Ornamental articles and buttons, Lacquer ware, Sundry
		goods of Tatami mats, Umbrellas, etc.
	Electricity, gas, heat supply and water	
2001	Electricity, gas, heat supply and	Power stations, Substations, Gasworks, Gas distribution, Heat supply,
2001	water	Water supply, Industrial water supply, Sewerage works
	Information and communications	210/
2101	Communications	Fixed telecommunications, Mobile telecommunications, etc.
2102	Broadcasting	Public broadcasting, Private-sector broadcasting, Cablecasting
2103	Information services	Computer programming and other software services, Data
2104	Internet based services	processing and information services, etc.  Web portal providers, Application services providers, Digital certificate
2104	internet based services	services, Internet support services, etc.
2105	Video picture, sound information,	Image information production and distribution, Sound
	character information production	information production, Newspaper publishers, Publishers,
	and distribution	Commercial art and graphic design, etc.
	Transport	
2201	Railway transport, road passenger	Railway services, Road passenger transport, Road freight transport,
	transport, road freight transport,	Water transport, Air transport
9999	water transport, and air transport	W
2202	Warehousing and services incidental to transport	Warehousing, Harbor transport businesses, Cargo forwarding agents, Forwarding agents, Transport agents, Packaging and crating,
	moruentar to transport	Transport facilities services, etc.
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Code	Type of Industry	Description
	Wholesale and retail trade	
2301	Wholesale trade	General merchandise wholesale trade, Machinery and equipment wholesale trade, etc.
2302	Retail trade	General merchandise retail trade, Machinery retail trade, Food and beverages retail trade, etc.
	Finance and insurance	
2401	Finance and insurance	Banking, Financial institutions for cooperative organization, Money lending business, credit card and installment finance business, financial products transaction dealers and futures commodity transaction dealers, Insurance institutions, etc.
	Real estate	
2501	Real estate	Real estate agencies, Real estate lessors and managers
	Goods rental and leasing	
2601	Goods rental and leasing	General goods rental and leasing, Industrial machinery and equipment rental and leasing, Automobile rental, etc.
	Accommodations, Eating and drinking places,	
2701	Accommodations	Japanese-style hotels, Hotels, etc.
2702	Eating and drinking places	General eating and drinking places (Restaurants, etc.), Pubs, Beer halls,
	The state of the s	coffee shops, etc.
2703	Food take out and food delivery services	Food take out services, Food delivery services
	Education and learning	
	support, Medical, health care	
	and welfare, Compound	
	services	
2801	Education, learning support	Kindergartens, Schools, Private schools, Instruction services for arts culture and technicals, etc.
2802	Medical, health care, and welfare	Hospitals, Health care centers, Child-care centers, etc.
2803	Compound services	Postal services, Cooperative associations, n.e.c., etc.
	Services, etc.	
2901	Business consultants and pure holding companies	Business consultants, Pure holding companies
2902	Advertising	Advertising
2903	Miscellaneous scientific	Scientific and development research institutes, Lawyers and patent
	research, professional and	attorneys offices, Certified public accountants and auditors offices, Design
	technical services	services, Authors and artists, Detective agencies and credit bureaus,
		Translation, Veterinary services, Architectural design services, Mechanical design services, Photographic studios, etc.
2904	Living related and personal	Laundries, Barbershops, Hair-dressing and beauty salon, Public bathhouses,
	services and amusement	Travel agencies, Checkrooms, Safety deposit services,
	services	Ceremonial occasions, Cinemas, Legitimate theatres, Sports facilities, Public
		gardens and amusement parks, Amusement and recreation facilities, etc.
2905	Services, n.e.c.	Industrial waste disposal business, Automobile maintenance services,
		Machine, etc. repair services, Employment and worker dispatching services,
		Stenographic, Copy services, Guard services, Political business and cultural
<u> </u>		organizations, religions, etc.

Note: If a holding company, classify your firm in the same business section as the main business section of your subsidiary, etc., and if "Research institute" in the same business section as that of your parent company.

Table II Regional and National Classification Code Table

Code	Region/Country
	NORTH AMERICA
101 102	United States Canada
	CENTRAL/SOUTH AMERICA
201	Mexico
202	Panama
203	El Salvador
$\frac{204}{205}$	Brazil Argentina
206	Paraguay
207	Chile
208	Peru
209	Dominica
210 211	Venezuela Bolivia
211	Bahamas
213	Colombia
214	Guatemala
215	Ecuador
$   \begin{array}{c}     217 \\     218   \end{array} $	Nicaragua Cost a Rica
219	Trinidad Tobago
220	British Bermuda
221	Puerto Rico
222 223	French Caribbean Islands Honduras
224	Surinam
225	Jamaica
226	Guyana
227	Cayman Islands (U.K.)
228 229	Virgin Islands (U.S.) Uruguay
299	Others
	Cuba
	Haiti
	Belize
	Saint Lucia Antigua and Barbuda
	Commonwealth of Dominica
	Saint Christopher and Nevis
	Saint Vincent French Guiana
	French Gulana

Code	Region/Country
	ASIA
302	India
303	Pakistan
304	Bangladesh
305	Sri Lanka
306	Myanmar
307	Malaysia
308	Singapore
309	Thailand Indonesia
310 311	Philippines
312	Cambodia
313	Laos
314	Hong Kong
315	Taiwan
316	Vietnam
317	South Korea
318	Nepal
319	Brunei
320	China (excl. Hong Kong)
321	Macau
399	Others
	Bhutan
	Mongolia
	North Korea
	Timor-Leste
	Maldives
	MIDDLE EAST
401	Iran
402	Israel
403	Kuwait
404	Lebanon
405	Saudi Arabia
406	U.A.E.
407	Afghanistan
408	Bahrain
409	Qatar
	Crrnic
410	Syria
410 411	Iraq
410	Iraq Others
410 411	Iraq Others Oman
410 411	Iraq Others Oman Yemen
410 411	Iraq Others Oman
410 411	Iraq Others Oman Yemen

Code	Region/Country
	EUROPE
501	U.K.
502	France
503	Germany
504	Belgium
505	Ireland
506	Switzerland
507	Portugal
508	Netherlands
509	Italy
510	Luxembourg
511	Spain
512	Greece
513	Malta
514	Austria
515	Norway
516	Denmark
517	Iceland
518	Sweden
519	Turkey
520	Romania
521	Finland
522	Monaco
523	Cyprus
524	Poland
525	Russia
526	Hungary
527	Czech Republic
528	Slovakia
530	Slovenia
531	Estonia
532	Latvia
533	Lithuania
534	Bulgaria
599	Others Kazakhstan San Marino Gibraltar Uzbekistan
	Azerbaijan Andorra Azores Liechtenstein Kyrgyz
	nyrgyz

<i>a</i> ,	P : /G :
Code	Region/Country
	Vatican
	Armenia
	Albania
	Ukraine
	Georgia
	Croatia Serbia
	Tajikistan Turkmenistan
	Belarus
	Bosnia and Herzegovina
	Moldova
	Montenegro
	nionionogro
	OCEANIA
601	Australia
602	New Zealand
603	Fiji
604	Papua New Guinea
605	Samoa
606	Palau
607	Northern Mariana Island (U.S.) Vanuatu
608	Solomon Islands
$609 \\ 610$	New Caledonia (FRA)
699	Others
000	Tonga
	Kiribati
	Nauru
	Polynesia (FRA)
	Micronesia
	Tuvalu
	AFRICA
701	Egypt
702	Morocco
703	Zimbabwe
704	Liberia
705	Tanzania
706	Sudan
707	Nigeria
708	Cote d'Ivoire
709	Madagascar
710	Kenya

Code	Region/Country
Code 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 799	Ethiopia Zambia Uganda Ghana Cameroon Republic of Congo Democratic Republic of Congo Mauritius Canary Islands (ESP) Rwanda Gabon Sierra Leone Gambia Mauritania Senegal Swaziland Libya Guinea Niger Tunisia South Africa Others Algeria Angora Zambia Namibia Botswana SADR Togo Mali Benin Chad Central African Republic Sao Tome and Principe Djibouti Somali
	Guinea
727 728 729 730 731	Libya Guinea Niger Tunisia South Africa Others Algeria Angora Zambia Namibia Botswana SADR Togo Mali Benin Chad Central African Republic Sao Tome and Principe Djibouti